Event-B: Introduction and First Steps[1]

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[1] Many slides borrowed from J. R. Abrial

Conventions

I will sometimes use boxes with different meanings.

- Quiz to do together during the lecture.

Q: What happens in this case?

solution
solution
solution

- Material / solutions that I want to develop during the lecture.

Something to complete here

aaaaaaaaaaaaaaaaaaa
aaaaaaaaaaaaaaaaaaa
aaaaaaaaaaaaaaaaaaa

Event B

An industry-oriented method, language, and set of supporting tools to describe systems of interacting, reactive software, hardware components, and their environment, and to reason about them.

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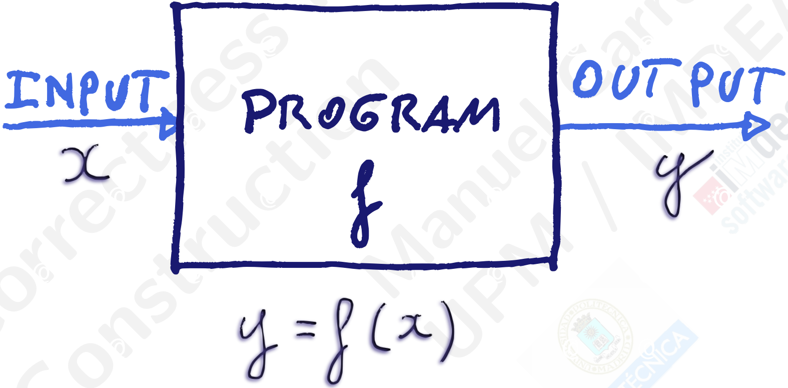
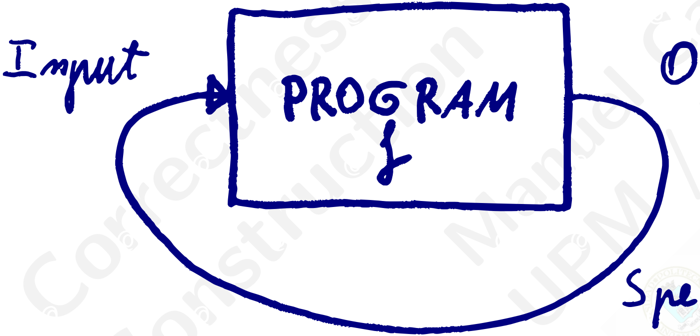
Sequential vs. reactive software



Specification: remember sorting program.

Issues: termination, (partial) correctness.

Sequential vs. reactive software



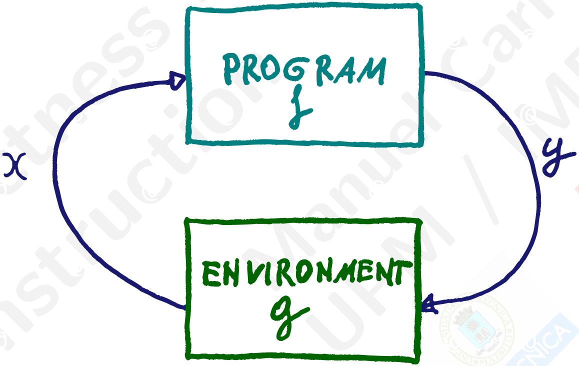
$$x_{i+1} = f(x_i)$$

Specification?

Termination?

Correctness?

Sequential vs. reactive software



$y_0 = f(x_0),\ x_1 = g(y_0),\ y_1 = f(x_1),\ x_2 = g(y_1),\ \ldots$

Effects of environment?

Typical approaches and problems

Usual approach

- Choose a platform / framework.
- Write software specifications (which often neglect or under-represent the environment).
- Design by cutting in small pieces with well-defined communication.
- Code and test / verify units.
- Integrate and test.

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Pitfalls

- Often too many details / interactions / properties to take into account.
- Cutting in pieces: poor job in taming complexity.
  - Small pieces: easy to prove them right.
  - Additional relationships created!
  - Overall complexity reduced?
- Modeling environment?

  E.g., we expect a car driver to stop at a red light.
- Result: system as a whole seldom verified.

The Event B approach

Complexity: Model Refinement

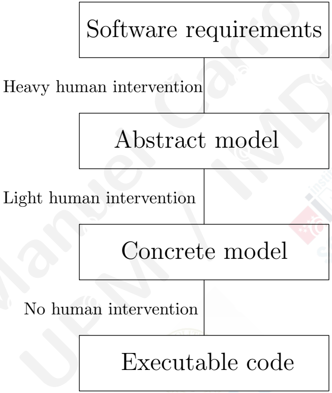
- System built incrementally, monotonically.
  - Take into account subset of requirements at each step.
  - Build model of a *partial* system.
  - Prove its correctness.
- **Add** requirements to the model, ensure correctness:
  - Requirements correctly captured by the new model.
  - New model preserves properties of previous model.

Details: Tool Support

- Tool to edit Event B models (Rodin).
- Generates *proof obligations*: theorems to be proved to ensure correctness.
- Interfaced with (interactive) theorem provers.
- Extensible.

Refinement

- Refinement allows us to build a model gradually.
- Ordered sequence of more precise partial models.
- Each model is a refinement of the one preceding it.
- Each model is proven:
  - Correct.
  - Preserving the properties of the previous one.



Software requirements

Heavy human intervention

Abstract model

Light human intervention

Concrete model

No human intervention

Executable code

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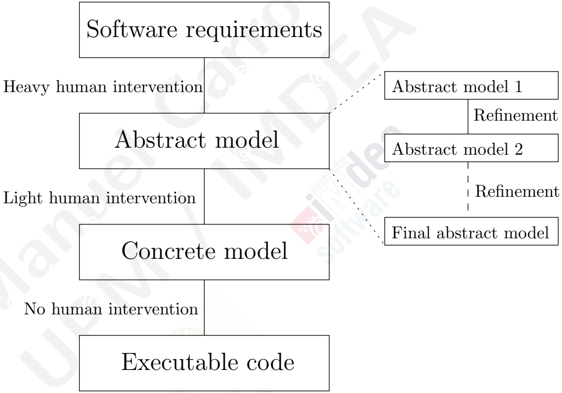

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Abstract model 1

Refinement

Abstract model 2

Refinement

Final abstract model

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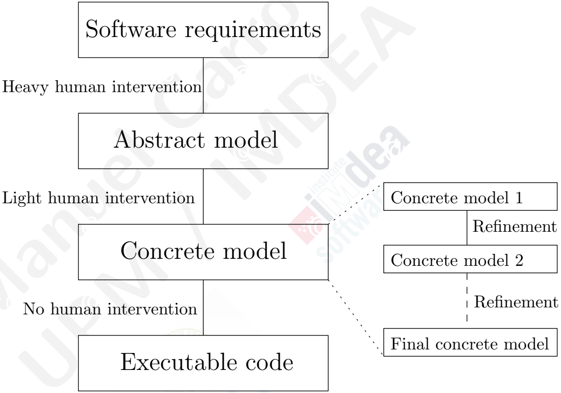

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Light human intervention

Concrete model

No human intervention

Executable code

Concrete model 1

Refinement

Concrete model 2

Refinement

Final concrete model

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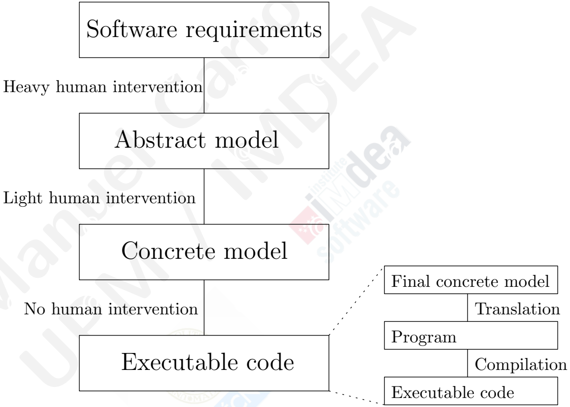

Basic ideas

- Model: formal description of a discrete system.
  - Formal: sound mechanism to decide whether some properties hold
  - Discrete: can be represented as a transition system

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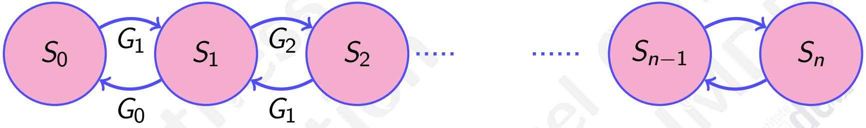
  - Formal: sound mechanism to decide whether some properties hold
  - Discrete: can be represented as a transition system

- Formalization contains models of:
  - The future software components
  - The future equipments surrounding these components

Models and states

A discrete model is made of states



- States are represented by constants, variables, and their relationships

$$S_i = \langle c_1, \ldots, c_n, v_1, \ldots, v_m \rangle$$

- Relationships among constants and variables written using set-theoretic expressions

Models and states

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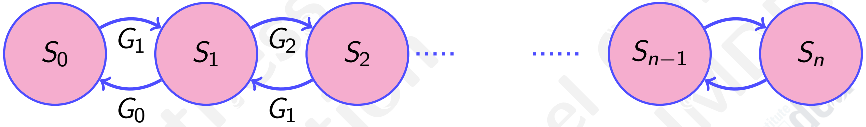

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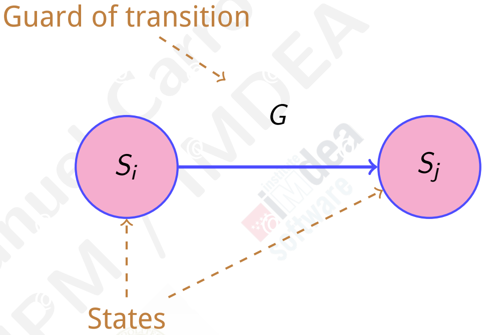
$$S_i = \langle c_1, \ldots, c_n, v_1, \ldots, v_m \rangle$$

- Relationships among constants and variables written using set-theoretic expressions

What is its relationship with a regular program?

States and transitions

- Transitions between states: triggered by events
- Events: guards and actions
  - Guard (G_i) denote enabling conditions of events
  - Actions denote how states are modified by events
- Guards and actions written with set-theoretic expressions (e.g., first-order, classical logic).



Examples:

$$S_i \equiv x = 0 \wedge y = 7$$
$$S_i \equiv x, y \in \mathbb{N} \wedge x < 4 \wedge y < 5 \wedge x + y < 7$$

Write extensional definition for the latter

A simple example – informal introduction!

Search for element `k` in array `f` of length `n`, assuming `k` is in `f`.

Constants / Axioms

$\mathtt{CONST}\ \mathtt{n} \in \mathbb{N}$

$\mathtt{CONST}\ \mathtt{f} \in \mathtt{1..n} \longrightarrow \mathbb{N}$

$\mathtt{CONST}\ \mathtt{k} \in \mathtt{ran(f)}$

Variables / Invariants

$\mathtt{VARIABLE}\ \mathtt{i} \in \mathtt{1..n}$

```
Event Search
  when
    $i < n \wedge f(i) \neq k$
  then
    $i := i + 1$
  end
```

```
Event Found
  when
    $f(i) = k$
  then
    skip
  end
```

(initialization of `i` not shown for brevity)

Events

```
Event EventName
  when
    guard:   G(v, c)
  then
    action:   v := E(v, c)
  end
```

- Executing an event (normally) changes the system state.
- An event may[2] fire when its guard evaluates to true.
- G(v, c) predicate that enables EventName
- v := E(v, c) is a state transformer.

[2] Not "must"!

Intuitive operational interpretation

```
Initialize;
while (some events have true guards) {
   Choose one such event;
   Modify the state accordingly;
}
```

```
Event EventName
  when
    guard:   G(v, c)
  then
    action:   v := E(v, c)
  end
```

- Now: **informal** Event B semantics.
- Actual Event B semantics based on set theory and invariants — Later!

- An event execution takes no time.
  - No two events occur simultaneously.
- If all guards false, system stops.
- Otherwise: choose one event with enabled guard, execute action, modify state.
- Repeat previous point if possible.

Fairness: what is it? What should we expect?

Comments on the operational interpretation

- Stopping is not necessary: a discrete system may run forever.
- This interpretation is just given here for informal understanding
- The meaning of such a discrete system will be given by the proofs which can be performed on it (next lectures).

On using sequential code

To help understanding, we will now write some sequential code first, translate it into Event B, and then proving correctness. This does not follow Event B workflow, which goes in the opposite direction: write Event B models and derive sequential / concurrent code from them.

Running example (sequential code)

$$a = \left\lfloor \frac{b}{c} \right\rfloor$$

- Characterize it: we want to define integer division, without using division.

Q: specification of division

$$\forall b \forall c \, [b \in \mathbb{N} \land c \in \mathbb{N} \land c > 0 \Rightarrow \exists a \exists r \, [a \in \mathbb{N} \land r \in \mathbb{N} \land r < c \land b = c \times a + r]]$$

It is useful to categorize the specification as assumptions (preconditions)

$$b \in \mathbb{N} \land c \in \mathbb{N} \land c > 0$$

and results (postconditions)

$$a \in \mathbb{N} \land r \in \mathbb{N} \land r < c \land b = c \times a + r$$

Input / output / variables / constants / types?

Two Math Notes

Zero

There is no universal agreement about whether to include zero in the set of natural numbers. Some authors begin the natural numbers with 0, corresponding to the non-negative integers 0, 1, 2, 3, . . . , whereas others start with 1, corresponding to the positive integers 1, 2, 3, . . . This distinction is of no fundamental concern for the natural numbers as such.

I will assume that $0 \in \mathbb{N}$. That is the convention in computer science.

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If you write $\forall b \in \mathbb{N}, c \in \mathbb{N}, c > 0 \cdot \exists a \in \mathbb{N}, r \in \mathbb{N}, r < c \cdot b = c \times a + r$ remember:

- Quantifier scope sometimes implicit.
- Commas mean conjunction.
- Nesting may need disambiguation.

- $\forall x \in D \cdot P(x)$ means $\forall x[x \in D \Rightarrow P(x)]$
- $\exists x \in D \cdot P(x)$ means $\exists x[x \in D \land P(x)]$

See https://twitter.com/lorisdanto/status/1354128808740327425?s=20
and https://twitter.com/lorisdanto/status/1354214767590842369?s=20

Programming integer division

- We have addition and substracion
- We have a simple procedural language
- Variables, assignment, loops, if-then-else, + & -, arith. operators, . . .

Q: integer division code

```
a := 0
r := b
while r >= c
   r := r - c
   a := a + 1
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```

Copy the code! We will need it!

This step is not taken in Event B. We are writing this code only for illustration purposes.

Towards events

Template

```
Event EventName
  when
    G(v, c)
  then
    v := E(v, c)
  end
```

Code

```
a := 0
r := b
while r >= c
  r := r - c
  a := a + 1
end
```

- Special initialization event (**INIT**).
- Sequential program (special case):
  - *Finish* event, *Progress* events
  - Determinism: guards exclude each other Prove!
  - Non-deadlock: some guard always true Prove!
  - Termination: a variable is always reduced Prove!

Q: integer division events

```
Event INIT
  a, r = 0, b
end
```

```
Event Progress
  when
    r >= c
  then
    r, a := r - c, a + 1
  end
```

```
Event Finish
  when
    r < c
  then
    skip
  end
```

Categorizing elements

Constants

Q: constants

b

c

Axioms (Write them down separately!)

Q: axioms

$b \in \mathbb{N}$

$c \in \mathbb{N}$

$c > 0$

Variables

Q: variables

a

r

Invariants

Later!

```
Event INIT
  a, r = 0, b
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Event Progress
  when r >= c
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Proving correctness

How do **you** prove your programs correct?

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- Correctness in sequential programs: post-condition holds.
- Easy if no (or statically bound) loops.
- Example: prove that this code swaps x and y:

$$x := x + y;$$

$$y := x - y;$$

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Hoare triple:
$\{P\}C\{Q\}$

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    $\{x = a + b, y = a\}$
x := x − y;
$\{x = b, y = a\}$
```

Hoare triple:

$\{P\}C\{Q\}$

Proving correctness: invariants in a nutshell

Loops: much more difficult

- # iterations unknown.
  (remember Collatz's conjecture)

```
while  r  >= c do

    r  := r  − c
    a  := a + 1

end
```

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```
{$I(a, r)$}
while r >= c do
    {$I(a, r)$}
    r := r − c
    a := a + 1
    {$I(a, r)$}
end
{$I(a, r)$}
```

Invariant: formula that is "always" true.

- Procedural code: beginning and end of every loop iteration.
- Event-B: after initialization, after every event (essentially same idea).

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{$I(a, r) \wedge r < c \Rightarrow a = \lfloor \frac{b}{c} \rfloor$}
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Note: we should prove termination as well!

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- Procedural code: beginning and end of every loop iteration.
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Finding invariants

Which assertions are invariant in our model?

Q: model invariants

I_1: $a \in \mathbb{N}$ *// Type invariant*

I_2: $r \in \mathbb{N}$ *// Type invariant*

I_3: $b = a \times c + r$

One formula that is an invariant for **any** Event-B model / loop.

Q: trivial invariant

$\top$

```
Event INIT
  a, r = 0, b
end
```

```
Event Progress
  when r >= c
  then
    r, a := r - c, a + 1
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```

```
Event Finish
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  then
    skip
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```
Event INIT              Event Progress                   Event Finish
  a, r = 0, b             when r >= c                      when r < c
end                       then                             then
                            r, a := r - c, a + 1             skip
                          end                              end
```

Copy invariants somewhere else – we will need to have them handy

Invariant preservation in Event B

- Invariants must be true before and after event execution.
- For all event i, invariant j:

  Establishment:

  $$A(c) \vdash I_j(E_{\text{init}}(v, c), c)$$

  Preservation:

  $$A(c), I_{1 \ldots n}(v, c), G_i(v, c) \vdash I_j(E_i(v, c), c)$$

  - $A(c)$ axioms
  - $E_i(v, c)$ result of action i
  - $I_j(v, c)$ invariant j
  - $I_{1 \ldots n}(v, c)$ all the invariants
  - $G_i(v, c)$ guard of event i

Sequent

$$\Gamma \vdash \Delta$$

Show that Δ can be proved using assumptions Γ

Invariant preservation

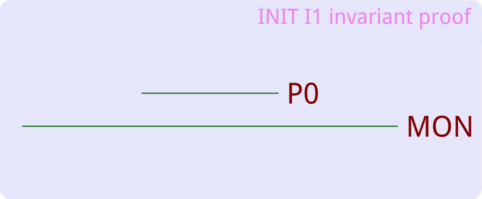
If an invariant holds and the guards of an event are true and we execute the event's action, the invariant should hold.

Invariant preservation proofs

- Invariant preservation proven using model and math axioms.
- Three invariants, events: nine proofs

- Named as e.g. $E_{Progress}/I_2/INV$
- Other proofs will be necessary later!

E_{INIT} / I_1 / INV



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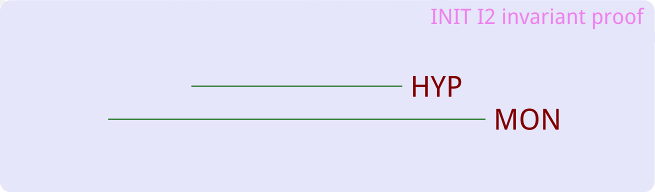

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E_{INIT} / I_1 / INV

INIT I1 invariant proof

$$\dfrac{\dfrac{}{}\ \text{PO}}{b \in \mathbb{N}, c \in \mathbb{N}, c > 0 \vdash 0 \in \mathbb{N}}\ \text{MON}$$

E_{INIT} / I_2 / INV

INIT I2 invariant proof

$$\dfrac{\dfrac{}{}\ \text{HYP}}{}\ \text{MON}$$

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INIT I1 invariant proof

$$\cfrac{\cfrac{}{\vdash 0 \in \mathbb{N}}\ \mathrm{P0}}{b \in \mathbb{N}, c \in \mathbb{N}, c > 0 \vdash 0 \in \mathbb{N}}\ \mathrm{MON}$$

E_{INIT} / I_2 / INV

INIT I2 invariant proof

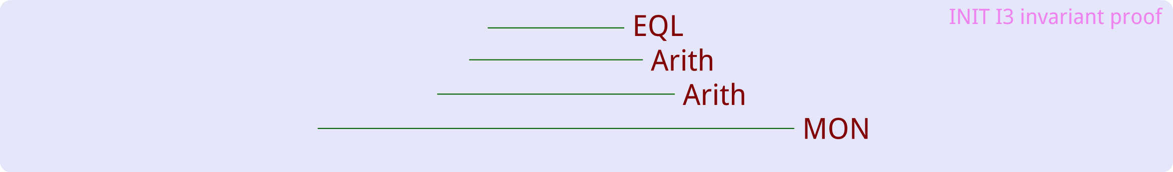
$$\cfrac{\cfrac{}{b \in \mathbb{N} \vdash b \in \mathbb{N}}\ \mathrm{HYP}}{b \in \mathbb{N}, c \in \mathbb{N}, c > 0 \vdash b \in \mathbb{N}}\ \mathrm{MON}$$

```
Event INIT
  a, r = 0, b
end
```

```
Event Progress
  when r >= c
  then
    r, a := r - c, a + 1
  end
```

Invariant preservation proofs

E_{INIT} / I_3 / INV



INIT I3 invariant proof

EQL

Arith

Arith

MON

$E_{Progress}$ / I_1 / INV

Progress I1 invariant proof

P1

MON

```
Event INIT          Event Progress
  a, r = 0, b         when r >= c
end                   then
                        r, a := r - c, a + 1
                      end
```

Invariant preservation proofs

E_{INIT} / I_3 / INV

INIT I3 invariant proof

$$\dfrac{\dfrac{\dfrac{\dfrac{}{}\,\text{EQL}}{}\,\text{Arith}}{}\,\text{Arith}}{b \in \mathbb{N}, c \in \mathbb{N}, c > 0 \;\vdash\; b = 0 \times c + b}\,\text{MON}$$

E_{Progress} / I_1 / INV

Progress I1 invariant proof

$$\dfrac{\dfrac{}{}\,\text{P1}}{}\,\text{MON}$$

```
Event INIT
  a, r = 0, b
end
```

```
Event Progress
  when r >= c
  then
    r, a := r - c, a + 1
  end
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Invariant preservation proofs

E_{INIT} / I_3 / INV

INIT I3 invariant proof

$$\dfrac{\dfrac{\dfrac{\dfrac{}{}\,\text{EQL}}{}\,\text{Arith}}{\vdash b = 0 \times c + b}\,\text{Arith}}{b \in \mathbb{N}, c \in \mathbb{N}, c > 0 \vdash b = 0 \times c + b}\,\text{MON}$$

E_{Progress} / I_1 / INV

Progress I1 invariant proof

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Invariant preservation proofs

E_{INIT} / I_3 / INV

INIT I3 invariant proof

$$\dfrac{\dfrac{\dfrac{\dfrac{}{}\ \mathsf{EQL}}{\vdash b = 0+b}\ \mathsf{Arith}}{\vdash b = 0 \times c + b}\ \mathsf{Arith}}{b \in \mathbb{N}, c \in \mathbb{N}, c > 0 \ \vdash b = 0 \times c + b}\ \mathsf{MON}$$

E_{Progress} / I_1 / INV

Progress I1 invariant proof

$$\dfrac{\dfrac{}{}\ \mathsf{P1}}{}\ \mathsf{MON}$$

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Event INIT
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end
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Invariant preservation proofs

E_{INIT} / I_3 / INV

INIT I3 invariant proof

$$\dfrac{\dfrac{\dfrac{\dfrac{}{\vdash b = b}\,\text{EQL}}{\vdash b = 0+b}\,\text{Arith}}{\vdash b = 0 \times c + b}\,\text{Arith}}{b \in \mathbb{N}, c \in \mathbb{N}, c > 0 \ \vdash b = 0 \times c + b}\,\text{MON}$$

E_{Progress} / I_1 / INV

Progress I1 invariant proof

$$\dfrac{\dfrac{\qquad\qquad\qquad}{}\,\text{P1}}{\qquad\qquad\qquad\qquad\qquad\qquad\qquad\qquad}\,\text{MON}$$

```
Event INIT
  a, r = 0, b
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Invariant preservation proofs

E_{INIT} / I_3 / INV

INIT I3 invariant proof

$$\dfrac{\dfrac{\dfrac{\dfrac{}{\vdash b = b}\ \text{EQL}}{\vdash b = 0+b}\ \text{Arith}}{\vdash b = 0 \times c + b}\ \text{Arith}}{b \in \mathbb{N}, c \in \mathbb{N}, c > 0 \ \vdash b = 0 \times c + b}\ \text{MON}$$

E_{Progress} / I_1 / INV

Progress I1 invariant proof

$$\dfrac{\dfrac{}{}\ \text{P1}}{b \in \mathbb{N}, c \in \mathbb{N}, c > 0, r \geq c, r \in \mathbb{N}, b = a \times c + r, a \in \mathbb{N} \ \vdash a + 1 \in \mathbb{N}}\ \text{MON}$$

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Event INIT
  a, r = 0, b
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Invariant preservation proofs

E_{INIT} / I_3 / INV

INIT I3 invariant proof

$$\dfrac{\dfrac{\dfrac{\dfrac{}{\vdash b = b}\,\text{EQL}}{\vdash b = 0 + b}\,\text{Arith}}{\vdash b = 0 \times c + b}\,\text{Arith}}{b \in \mathbb{N}, c \in \mathbb{N}, c > 0 \vdash b = 0 \times c + b}\,\text{MON}$$

E_{Progress} / I_1 / INV

Progress I1 invariant proof

$$\dfrac{\dfrac{}{a \in \mathbb{N} \vdash a + 1 \in \mathbb{N}}\,\text{P1}}{b \in \mathbb{N}, c \in \mathbb{N}, c > 0, r \geq c, r \in \mathbb{N}, b = a \times c + r, a \in \mathbb{N} \vdash a + 1 \in \mathbb{N}}\,\text{MON}$$

```
Event INIT
  a, r = 0, b
end
```

```
Event Progress
  when r >= c
  then
    r, a := r - c, a + 1
  end
```

Sequents

- Mechanize proofs
  - Humans “understand”; proving is tiresome and error-prone
  - Computers manipulate symbols
- How can we mechanically construct correct proofs?
  - Every step crystal clear
  - For a computer to perform
- Several approaches
- For Event B: sequent calculus
  - To read: [Pau] (available at course web page), at least Sect. 3.3 to 3.5 , 5.4, and 5.5. Note: when we use $\Gamma \vdash \Delta$, Paulson uses $\Gamma \Rightarrow \Delta$.
  - Also: [Orib, Oria], available at the course web page.
- Admissible deductions: inference rules.

Inference rules

- An inference rule is a tool to build a formal proof.
  - It not only tells you whether $\Gamma \vdash \Delta$: it tells you how.
- It is denoted by:

$$\frac{A}{C}\;R$$

- A is a (possibly empty) collection of sequents: the antecedents.
- C is a sequent: the consequent.
- R is the name of the rule.

The proofs of each sequent of A

——— together give you ———

a proof of sequent C

An example of inference rule

Note: not exactly the inference rules we will use.
Only an intuitive example.

- A(lice) and B(ob) are siblings:

$$\frac{\text{C is mother of A} \qquad \text{C is mother of B}}{\text{A and B are siblings}}\ \text{Sibling-M}$$

$$\frac{\text{C is father of A} \qquad \text{C is father of B}}{\text{A and B are siblings}}\ \text{Sibling-F}$$

- Note: we do not consider the case that, e.g., C is a father and a mother.

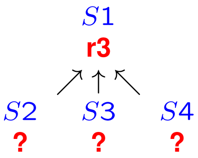
Proof of sequent $S1$

$$\frac{}{S2}\,\mathbf{r1} \qquad \frac{S7}{S4}\,\mathbf{r2} \qquad \frac{S2 \quad S3 \quad S4}{S1}\,\mathbf{r3} \qquad \frac{}{S5}\,\mathbf{r4} \qquad \frac{S5 \quad S6}{S3}\,\mathbf{r5} \qquad \frac{}{S6}\,\mathbf{r6} \qquad \frac{}{S7}\,\mathbf{r7}$$

$$\begin{array}{c} S1 \\ \textbf{?} \end{array}$$

Proof of Sequent $S1$

$$\frac{}{S2}\,\textbf{r1} \qquad \frac{S7}{S4}\,\textbf{r2} \qquad \frac{S2 \quad S3 \quad S4}{S1}\,\textbf{r3} \qquad \frac{}{S5}\,\textbf{r4} \qquad \frac{S5 \quad S6}{S3}\,\textbf{r5} \qquad \frac{}{S6}\,\textbf{r6} \qquad \frac{}{S7}\,\textbf{r7}$$



$S1$

r3

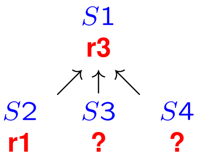
$S2$?

$S3$?

$S4$?

Proof of Sequent $S1$

$$\frac{}{S2}\,\mathbf{r1} \qquad \frac{S7}{S4}\,\mathbf{r2} \qquad \frac{S2 \quad S3 \quad S4}{S1}\,\mathbf{r3} \qquad \frac{}{S5}\,\mathbf{r4} \qquad \frac{S5 \quad S6}{S3}\,\mathbf{r5} \qquad \frac{}{S6}\,\mathbf{r6} \qquad \frac{}{S7}\,\mathbf{r7}$$



$S1$

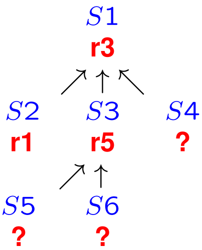
r3

$S2$ $S3$ $S4$

r1 **?** **?**

Proof of Sequent $S1$

$$\frac{}{S2}\textbf{r1} \qquad \frac{S7}{S4}\textbf{r2} \qquad \frac{S2 \quad S3 \quad S4}{S1}\textbf{r3} \qquad \frac{}{S5}\textbf{r4} \qquad \frac{S5 \quad S6}{S3}\textbf{r5} \qquad \frac{}{S6}\textbf{r6} \qquad \frac{}{S7}\textbf{r7}$$



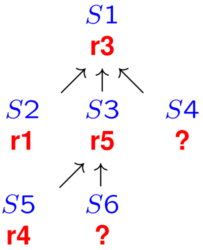
$S1$ **r3**

$S2$ **r1** $S3$ **r5** $S4$ **?**

$S5$ **?** $S6$ **?**

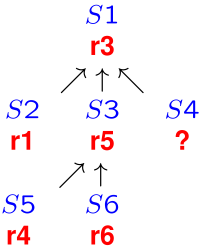
Proof of Sequent $S1$

$$\frac{}{S2}\textbf{r1} \qquad \frac{S7}{S4}\textbf{r2} \qquad \frac{S2 \quad S3 \quad S4}{S1}\textbf{r3} \qquad \frac{}{S5}\textbf{r4} \qquad \frac{S5 \quad S6}{S3}\textbf{r5} \qquad \frac{}{S6}\textbf{r6} \qquad \frac{}{S7}\textbf{r7}$$



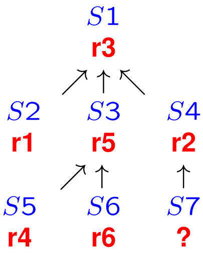
Proof of Sequent $S1$

$$\frac{}{S2}\textbf{r1} \qquad \frac{S7}{S4}\textbf{r2} \qquad \frac{S2 \quad S3 \quad S4}{S1}\textbf{r3} \qquad \frac{}{S5}\textbf{r4} \qquad \frac{S5 \quad S6}{S3}\textbf{r5} \qquad \frac{}{S6}\textbf{r6} \qquad \frac{}{S7}\textbf{r7}$$



Proof of Sequent $S1$

$$\frac{}{S2}\textbf{r1} \qquad \frac{S7}{S4}\textbf{r2} \qquad \frac{S2 \quad S3 \quad S4}{S1}\textbf{r3} \qquad \frac{}{S5}\textbf{r4} \qquad \frac{S5 \quad S6}{S3}\textbf{r5} \qquad \frac{}{S6}\textbf{r6} \qquad \frac{}{S7}\textbf{r7}$$



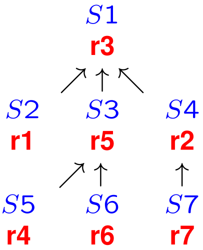
$S1$
r3

$S2$ $S3$ $S4$
r1 **r5** **r2**

$S5$ $S6$ $S7$
r4 **r6** **?**

Proof of Sequent $S1$

$$\frac{}{S2}\,\mathbf{r1} \qquad \frac{S7}{S4}\,\mathbf{r2} \qquad \frac{S2 \quad S3 \quad S4}{S1}\,\mathbf{r3} \qquad \frac{}{S5}\,\mathbf{r4} \qquad \frac{S5 \quad S6}{S3}\,\mathbf{r5} \qquad \frac{}{S6}\,\mathbf{r6} \qquad \frac{}{S7}\,\mathbf{r7}$$



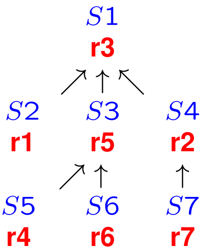
$S1$
r3

$S2$ **r1** $S3$ **r5** $S4$ **r2**

$S5$ **r4** $S6$ **r6** $S7$ **r7**

Recording the Proof of Sequent $S1$

$$\frac{}{S2}\,\textbf{r1} \qquad \frac{S7}{S4}\,\textbf{r2} \qquad \frac{S2 \quad S3 \quad S4}{S1}\,\textbf{r3} \qquad \frac{}{S5}\,\textbf{r4} \qquad \frac{S5 \quad S6}{S3}\,\textbf{r5} \qquad \frac{}{S6}\,\textbf{r6} \qquad \frac{}{S7}\,\textbf{r7}$$



- The proof is a tree

Deduction systems

- There are many formal deduction systems [Ben12, Sect. 3.9].
- We will use a variant of the so-called *Gentzen* deduction systems.

Sequent $\Gamma \vdash \Delta$ in a Gentzen system

- Γ: (possibly empty) collection of formulas (the hypotheses)
- Δ: collection of formulas (the goal)

- Objective: show that, under hypotheses Γ, some formula(s) in Δ can be proven.

$\Gamma \equiv P_1, P_2, \ldots, P_n$ stands for $P_1 \wedge P_2 \wedge \ldots \wedge P_n$

$\Delta \equiv Q_1, Q_2, \ldots, Q_m$ s.f. $Q_1 \vee Q_2 \vee \ldots \vee Q_m$

$$\boxed{P_1, P_2, \ldots, P_n \vdash Q_1, Q_2, \ldots, Q_m}$$

is

$$\boxed{P_1 \wedge P_2 \wedge \ldots \wedge P_n \vdash Q_1 \vee Q_2 \vee \ldots \vee Q_m}$$

- We will use a proof calculus where the goal is a single formula.
- More constructive proofs — see [Oria, Section 11.2] for interesting remarks.

Inside a sequent

- We need a language to express hypothesis and goals.
  - Not formally defined yet
  - We will assume it is first-order, classical logic
  - Recommended references: [Pau, HR04, Ben12]
- We need a way to determine if (and how) Δ can prove Γ.
  - Inference rules.

Structural inference rules

- Three structural inference rules, independent of the logic used.

HYPothesis

$$\frac{}{H, P \vdash P}\ \text{HYP}$$

If the goal is among the hypothesis, we are done.

MONotony

$$\frac{H \vdash Q}{H, P \vdash Q}\ \text{MON}$$

If goal is proved without hypothesis P, then it can be proven with P.

CUT

$$\frac{H \vdash P \qquad H, P \vdash Q}{H \vdash Q}\ \text{CUT}$$

A goal can be proven with an intermediate deduction P. Nobody tells us what is P or how to come up with it. It *cuts* the proof into smaller pieces. (*Cut Elimination Theorem*)

More rules

- There are many other inference rules for:
  - Logic itself (propositional / predicate logic)
    - Look at the slides / documents in the course web page
  - reasoning on arithmetic (Peano axioms),
  - reasoning on sets,
  - reasoning on functions,
  - . . .
- We will not list all of them here (see online documentation).
- We may need to explain them as they appear.
- But a mechanical prover has them as “inside knowledge” (plus tactics, strategies)

Connectives

- Given predicates P and Q, we can construct:

- NEGATION: $\neg P$

- CONJUNCTION: $P \wedge Q$

- IMPLICATION: $P \Rightarrow Q$

- Precedence: $\neg, \wedge, \Rightarrow$.
  - Examples
- Parenthesis added when needed.
  - If in doubt: add parentheses!
- Can you build the truth tables?
- $\vee$, $\Leftrightarrow$ are defined based on them.
  - Define them
  - Can we use a **single** connective?

Rules for conjunction

$$\frac{H \vdash Q \qquad H \vdash P}{H \vdash P \land Q}\ \text{AND-R}$$

A conjunction on the RHS needs both branches of the conjunction to be proven independently of each other.

$x \in \mathbb{N}1, y \in \mathbb{N}1, x + y < 5 \vdash x < 4 \land y < 4$

Rules for conjunction

$$\frac{H \vdash Q \qquad H \vdash P}{H \vdash P \land Q}\ \text{AND-R}$$

A conjunction on the RHS needs both branches of the conjunction to be proven independently of each other.

$x \in \mathbb{N}1, y \in \mathbb{N}1, x + y < 5 \vdash x < 4 \land y < 4$

Rules for conjunction

$$\frac{H \ \vdash Q \qquad H \ \vdash P}{H \ \vdash P \wedge Q} \ \text{AND-R}$$

A conjunction on the RHS needs both branches of the conjunction to be proven independently of each other.

$x \in \mathbb{N}1, y \in \mathbb{N}1, x + y < 5 \ \vdash x < 4 \wedge y < 4$

$$\frac{H, P, Q \ \vdash R}{H, P \wedge Q \ \vdash R} \ \text{AND-L}$$

By definition of sequent.

Rules for disjunction

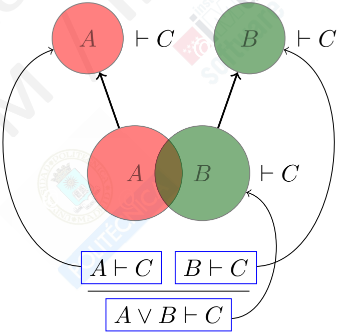
$$\frac{H, Q \vdash R \qquad H, P \vdash R}{H, P \vee Q \vdash R}\ \text{OR-L}$$

A disjunction on the LHS needs both branches of the disjunction be discharged separately.

$(x < 0 \wedge y < 0) \vee x + y > 0 \vdash x \times y > 0$

Counterxample?

LHS: **all** conditions in which RHS has to hold. Removing part of disjunction makes "condition space" smaller (removing part of conjunction makes the "condition space" larger, more general). Proofs with more general assumptions are valid for less general assumptions, not the other way around.



Rules for disjunction (cont.)

$$\frac{H \vdash P}{H \vdash P \lor Q}\ \text{OR-R1} \qquad \frac{H \vdash Q}{H \vdash P \lor Q}\ \text{OR-R2}$$

A disjunction on the RHS only needs ***one*** *of the branches to be proven. There is a rule for each branch.*

Rules for disjunction (cont.)

$$\frac{H \vdash P}{H \vdash P \lor Q}\ \text{OR-R1} \qquad \frac{H \vdash Q}{H \vdash P \lor Q}\ \text{OR-R2}$$

A disjunction on the RHS only needs ***one*** *of the branches to be proven. There is a rule for each branch.*

$$\frac{H, \neg P \vdash Q}{H \vdash P \lor Q}\ \text{NEG}$$

Part of a disjunctive goal can be negated, moved to the hypotheses, and used to discharge the proof. Related to $\neg P \lor Q$ *being* $P \Rightarrow Q$.

$x \in \mathbb{N}, y \in \mathbb{N}, x + y > 1, y > x \vdash x > 0 \lor y > 1$

Rules for negation

$$\frac{}{\bot \vdash Q}\ \text{CNTR}$$

$$\frac{}{P, \neg P \vdash Q}\ \text{NOT-L}$$

If we reach to a contradiction in the hypotheses, anything can be proven (principle of explosion). Note: not everyone accepts this – more on that later.

$$\frac{H, \neg P \vdash \neg Q \qquad H, \neg P \vdash Q}{H \vdash P}\ \text{NOT-R}$$

Reductio ad absurdum: assume the negation of what we want to prove and reach a contradiction. Similarly with $H \vdash \neg P$.

$P \wedge \neg P \equiv \bot$ (False) $\qquad$ $P \vee \neg P \equiv \top$ (True) $\qquad$ $\top = \neg\bot$

Rules for implication

$$\frac{H \vdash P \qquad H, Q \vdash R}{H, P \Rightarrow Q \vdash R}\ \text{IMP-L}$$

If we want to use $P \Rightarrow Q$, we show that P is deducible from H and that, assuming Q, we can infer R.

$$\frac{H, P \vdash Q}{H \vdash P \Rightarrow Q}\ \text{IMP-R}$$

We move the LHS P to the hypotheses. Note that since $P \Rightarrow Q$ is $\neg P \vee Q$, we are applying the NEG *rule in disguise.*

$x \in \mathbb{N}, y \in \mathbb{N}, x + y > k \vdash x = k \Rightarrow y > 0$

Additional rules

Equality axiom

$$\frac{}{\vdash E = E}\ \text{EQL}$$

Equality propagation

$$\frac{H(F), E = F \ \vdash P(F)}{H(E), E = F \ \vdash P(E)}\ \text{EQL-LR}$$

First Peano axiom

$$\frac{}{\vdash 0 \in \mathbb{N}}\ \text{P0}$$

Second Peano axiom

$$\frac{}{n \in \mathbb{N} \ \vdash n + 1 \in \mathbb{N}}\ \text{P1}$$

Summary

Struct.	$\frac{}{H, P \vdash P}$	$\frac{H \vdash Q}{H, P \vdash Q}$	$\frac{H \vdash P \qquad H, P \vdash Q}{H \vdash Q}$

	RHS	LHS
Conj.	$\frac{H, P, Q \vdash R}{H, P \wedge Q \vdash R}$	$\frac{H \vdash Q \qquad H \vdash P}{H \vdash P \wedge Q}$
Disj.	$\frac{H, Q \vdash R \qquad H, P \vdash R}{H, P \vee Q \vdash R}$	$\frac{H \vdash P}{H \vdash P \vee Q}$ $\qquad$ $\frac{H \vdash Q}{H \vdash P \vee Q}$
Imp.	$\frac{H \vdash P \qquad H, Q \vdash R}{H, P \Rightarrow Q \vdash R}$	$\frac{H, P \vdash Q}{H \vdash P \Rightarrow Q}$
Neg.	$\frac{}{\bot \vdash Q}$ $\qquad$ $\frac{}{P, \neg P \vdash Q}$	$\frac{H, \neg P \vdash \neg Q \qquad H, \neg P \vdash Q}{H \vdash P}$

Other	$\frac{}{\vdash E = E}$	$\frac{H(F), E = F \vdash P(F)}{H(E), E = F \vdash P(E)}$	$\frac{}{\vdash 0 \in \mathbb{N}}$	$\frac{}{n \in \mathbb{N} \vdash n + 1 \in \mathbb{N}}$

A Propositional Example

Is $\neg r \vee s, s \rightarrow p \vdash r \rightarrow p$ a valid deduction?

A Propositional Example

Is $\neg r \lor s, s \to p \vdash r \to p$ a valid deduction?

$$\neg r \lor s, s \to p \vdash r \to p$$

For you: identify which rules have been applied

A Propositional Example

Is $\neg r \lor s, s \to p \vdash r \to p$ a valid deduction?

$$\dfrac{\dfrac{\dfrac{\dfrac{}{}}{}}{\neg r, s \to p \vdash r \to p} \qquad \dfrac{\dfrac{\dfrac{\dfrac{\dfrac{}{} \quad \dfrac{}{}}{}}{}}{}}{s, s \to p \vdash r \to p}}{\neg r \lor s, s \to p \vdash r \to p}$$

For you: identify which rules have been applied

A Propositional Example

Is $\neg r \vee s, s \to p \vdash r \to p$ a valid deduction?

$$\dfrac{\dfrac{\dfrac{\dfrac{}{}}{\neg r, r, s \to p \vdash p}}{\neg r, s \to p \vdash r \to p} \qquad \dfrac{\dfrac{\dfrac{\dfrac{}{} \quad \dfrac{}{}}{}}{}}{s, s \to p \vdash r \to p}}{\neg r \vee s, s \to p \vdash r \to p}$$

For you: identify which rules have been applied

A Propositional Example

Is $\neg r \lor s, s \to p \vdash r \to p$ a valid deduction?

$$\dfrac{\dfrac{\dfrac{\dfrac{}{\neg r, r \vdash p}}{\neg r, r, s \to p \vdash p}}{\neg r, s \to p \vdash r \to p} \qquad \dfrac{\dfrac{\dfrac{\dfrac{}{} \qquad \dfrac{}{}}{}}{}}{s, s \to p \vdash r \to p}}{\neg r \lor s, s \to p \vdash r \to p}$$

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A Propositional Example

Is $\neg r \lor s, s \to p \vdash r \to p$ a valid deduction?

$$\dfrac{\dfrac{\dfrac{\dfrac{}{\neg r, r \vdash p}}{\neg r, r, s \to p \vdash p}}{\neg r, s \to p \vdash r \to p} \qquad \dfrac{\dfrac{\dfrac{\dfrac{}{\quad} \qquad \dfrac{}{\quad}}{\quad}}{s, s \to p, r \vdash p}}{s, s \to p \vdash r \to p}}{\neg r \lor s, s \to p \vdash r \to p}$$

For you: identify which rules have been applied

A Propositional Example

Is $\neg r \vee s, s \to p \vdash r \to p$ a valid deduction?

$$\dfrac{\dfrac{\dfrac{\dfrac{}{\neg r, r \vdash p}}{\neg r, r, s \to p \vdash p}}{\neg r, s \to p \vdash r \to p} \qquad \dfrac{\dfrac{\dfrac{\dfrac{}{\qquad} \quad \dfrac{}{\qquad}}{s, s \to p \vdash p}}{s, s \to p, r \vdash p}}{s, s \to p \vdash r \to p}}{\neg r \vee s, s \to p \vdash r \to p}$$

For you: identify which rules have been applied

A Propositional Example

Is $\neg r \vee s, s \to p \vdash r \to p$ a valid deduction?

$$\dfrac{\dfrac{\dfrac{\dfrac{}{\neg r, r \vdash p}}{\neg r, r, s \to p \vdash p}}{\neg r, s \to p \vdash r \to p} \qquad \dfrac{\dfrac{\dfrac{\dfrac{}{s \vdash s} \qquad \dfrac{}{}}{s, s \to p \vdash p}}{s, s \to p, r \vdash p}}{s, s \to p \vdash r \to p}}{\neg r \vee s, s \to p \vdash r \to p}$$

For you: identify which rules have been applied

A Propositional Example

Is $\neg r \vee s, s \rightarrow p \vdash r \rightarrow p$ a valid deduction?

$$
\dfrac{
  \dfrac{
    \dfrac{
      \dfrac{}{\neg r, r \vdash p}
    }{\neg r, r, s \rightarrow p \vdash p}
  }{\neg r, s \rightarrow p \vdash r \rightarrow p}
  \qquad
  \dfrac{
    \dfrac{
      \dfrac{
        \dfrac{}{s \vdash s}
        \qquad
        \dfrac{}{s, p \vdash p}
      }{s, s \rightarrow p \vdash p}
    }{s, s \rightarrow p, r \vdash p}
  }{s, s \rightarrow p \vdash r \rightarrow p}
}{\neg r \vee s, s \rightarrow p \vdash r \rightarrow p}
$$

For you: identify which rules have been applied

A Propositional Example

Is $\neg r \vee s, s \to p \vdash r \to p$ a valid deduction?

$$\dfrac{\dfrac{\dfrac{\dfrac{}{\neg r, r \vdash p}}{\neg r, r, s \to p \vdash p}}{\neg r, s \to p \vdash r \to p} \qquad \dfrac{\dfrac{\dfrac{\dfrac{}{s \vdash s} \quad \dfrac{}{s, p \vdash p}}{s, s \to p \vdash p}}{s, s \to p, r \vdash p}}{s, s \to p \vdash r \to p}}{\neg r \vee s, s \to p \vdash r \to p}$$

For you: identify which rules have been applied

Forthcoming proofs and propositional rules

The proofs that follow are not propositional because they use variables. But they do not involve quantifiers, so we will treat arithmetic formulas as propositions when applying inference rules. To make formulas syntactically identical when needed, we will apply common arithmetic rules. E.g., $x + y$ is syntactically different from $y + x$, but they are arithmetically equivalent. So we will assume that $x + y > 0 \vdash y + x > 0$ (or we apply an intermediate step that swaps x and y). Same with, e.g., $\top \vdash 1 + 3 = 2 + 2$ or $\top \vdash x + x = 2 * x$.

Invariant preservation proofs

$E_{Progress}$ / I_2 / INV

Progress I2 invariant proof

PO

Arith

MON

EQ-LR

Arith*

MON

Simp-M-Minus

Arith-M-M-R

OR-L

Arith

MON

$$b \in \mathbb{N}, c \in \mathbb{N}, c > 0, r \geq c, a \in \mathbb{N}, b = a \times c + r, r \in \mathbb{N} \vdash r - c \in \mathbb{N}$$

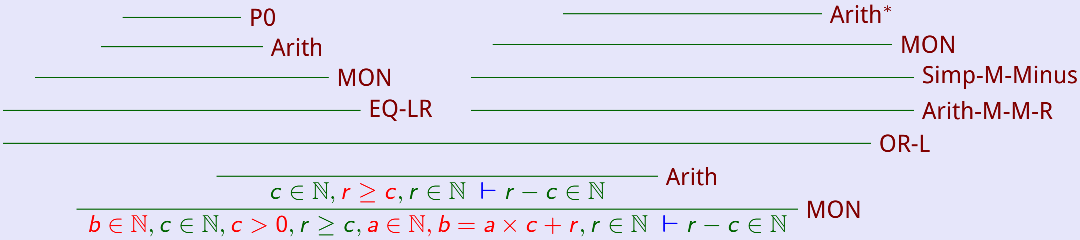
I_2: $r \in \mathbb{N}$

```
Event Progress
  when r >= c
  then
    r, a := r - c, a + 1
  end
```

Invariant preservation proofs

E_{Progress} / I_2 / INV

Progress I2 invariant proof



$$c \in \mathbb{N}, r \geq c, r \in \mathbb{N} \vdash r - c \in \mathbb{N}$$

$$b \in \mathbb{N}, c \in \mathbb{N}, c > 0, r \geq c, a \in \mathbb{N}, b = a \times c + r, r \in \mathbb{N} \vdash r - c \in \mathbb{N}$$

I_2: $r \in \mathbb{N}$

```
Event Progress
  when r >= c
  then
    r, a := r - c, a + 1
  end
```

Invariant preservation proofs

$\mathrm{E}_{\mathrm{Progress}}$ / I_2 / INV

Progress I2 invariant proof

$$\dfrac{\dfrac{\dfrac{\dfrac{\dfrac{\dfrac{\dfrac{\dfrac{}{}\,\mathsf{P0}}{}\,\mathsf{Arith}}{}\,\mathsf{MON}}{}\,\mathsf{EQ\text{-}LR} \qquad \dfrac{\dfrac{\dfrac{\dfrac{}{}\,\mathsf{Arith}^*}{}\,\mathsf{MON}}{}\,\mathsf{Simp\text{-}M\text{-}Minus}}{}\,\mathsf{Arith\text{-}M\text{-}M\text{-}R}}{c \in \mathbb{N}, r = c \lor r > c, r \in \mathbb{N} \vdash r - c \in \mathbb{N}}\,\mathsf{OR\text{-}L}}{c \in \mathbb{N}, r \geq c, r \in \mathbb{N} \vdash r - c \in \mathbb{N}}\,\mathsf{Arith}}{b \in \mathbb{N}, c \in \mathbb{N}, c > 0, r \geq c, a \in \mathbb{N}, b = a \times c + r, r \in \mathbb{N} \vdash r - c \in \mathbb{N}}\,\mathsf{MON}$$

I_2: $r \in \mathbb{N}$

```
Event Progress
  when r >= c
  then
    r, a := r - c, a + 1
  end
```

Invariant preservation proofs

E_{Progress} / I_2 / INV

Progress I2 invariant proof

$$\dfrac{\dfrac{\dfrac{\dfrac{\dfrac{\dfrac{\dfrac{\dfrac{}{}\,\text{P0}}{}\,\text{Arith}}{}\,\text{MON}}{c \in \mathbb{N}, r = c, r \in \mathbb{N} \vdash r - c \in \mathbb{N}}\,\text{EQ-LR} \qquad \dfrac{\dfrac{\dfrac{\dfrac{}{}\,\text{Arith}^*}{}\,\text{MON}}{}\,\text{Simp-M-Minus}}{}\,\text{Arith-M-M-R}}{c \in \mathbb{N}, r = c \lor r > c, r \in \mathbb{N} \vdash r - c \in \mathbb{N}}\,\text{OR-L}}{c \in \mathbb{N}, r \geq c, r \in \mathbb{N} \vdash r - c \in \mathbb{N}}\,\text{Arith}}{b \in \mathbb{N}, c \in \mathbb{N}, c > 0, r \geq c, a \in \mathbb{N}, b = a \times c + r, r \in \mathbb{N} \vdash r - c \in \mathbb{N}}\,\text{MON}$$

I_2: $r \in \mathbb{N}$

```
Event Progress
  when r >= c
  then
    r, a := r - c, a + 1
  end
```

Invariant preservation proofs

E_{Progress} / I_2 / INV

Progress I2 invariant proof

$$\dfrac{\dfrac{\dfrac{\dfrac{\dfrac{\dfrac{\dfrac{}{}\;\text{PO}}{}\;\text{Arith}}{c \in \mathbb{N}, c \in \mathbb{N} \vdash c - c \in \mathbb{N}}\;\text{MON}}{c \in \mathbb{N}, r = c, r \in \mathbb{N} \vdash r - c \in \mathbb{N}}\;\text{EQ-LR} \qquad \dfrac{\dfrac{\dfrac{\dfrac{\dfrac{}{}\;\text{Arith}^*}{}\;\text{MON}}{}\;\text{Simp-M-Minus}}{}\;\text{Arith-M-M-R}}{c \in \mathbb{N}, r = c \lor r > c, r \in \mathbb{N} \vdash r - c \in \mathbb{N}}\;\text{OR-L}}{c \in \mathbb{N}, r \geq c, r \in \mathbb{N} \vdash r - c \in \mathbb{N}}\;\text{Arith}}{b \in \mathbb{N}, c \in \mathbb{N}, c > 0, r \geq c, a \in \mathbb{N}, b = a \times c + r, r \in \mathbb{N} \vdash r - c \in \mathbb{N}}\;\text{MON}$$

I_2: $r \in \mathbb{N}$

```
Event Progress
  when r >= c
  then
    r, a := r - c, a + 1
  end
```

Invariant preservation proofs

E_{Progress} / I_2 / INV

Progress I2 invariant proof

$$\dfrac{\dfrac{\dfrac{\dfrac{\dfrac{\dfrac{\dfrac{}{}\,\text{P0}}{\vdash c - c \in \mathbb{N}}\,\text{Arith}}{c \in \mathbb{N}, c \in \mathbb{N} \vdash c - c \in \mathbb{N}}\,\text{MON}}{c \in \mathbb{N}, r = c, r \in \mathbb{N} \vdash r - c \in \mathbb{N}}\,\text{EQ-LR} \qquad \dfrac{\dfrac{\dfrac{\dfrac{}{}\,\text{Arith}^*}{}\,\text{MON}}{}\,\text{Simp-M-Minus}}{}\,\text{Arith-M-M-R}}{c \in \mathbb{N}, r = c \vee r > c, r \in \mathbb{N} \vdash r - c \in \mathbb{N}}\,\text{OR-L}}{c \in \mathbb{N}, r \geq c, r \in \mathbb{N} \vdash r - c \in \mathbb{N}}\,\text{Arith}}{b \in \mathbb{N}, c \in \mathbb{N}, c > 0, r \geq c, a \in \mathbb{N}, b = a \times c + r, r \in \mathbb{N} \vdash r - c \in \mathbb{N}}\,\text{MON}$$

I_2: $r \in \mathbb{N}$

```
Event Progress
  when r >= c
  then
    r, a := r - c, a + 1
  end
```

Invariant preservation proofs

E_{Progress} / I_2 / INV

Progress I2 invariant proof

$$\dfrac{\dfrac{\dfrac{\dfrac{\dfrac{\dfrac{\dfrac{}{\vdash 0 \in \mathbb{N}}\,\text{P0}}{\vdash c - c \in \mathbb{N}}\,\text{Arith}}{c \in \mathbb{N}, c \in \mathbb{N} \vdash c - c \in \mathbb{N}}\,\text{MON}}{c \in \mathbb{N}, r = c, r \in \mathbb{N} \vdash r - c \in \mathbb{N}}\,\text{EQ-LR} \qquad \dfrac{\dfrac{\dfrac{\dfrac{}{}\,\text{Arith}^*}{}\,\text{MON}}{}\,\text{Simp-M-Minus}}{}\,\text{Arith-M-M-R}}{c \in \mathbb{N}, r = c \lor r > c, r \in \mathbb{N} \vdash r - c \in \mathbb{N}}\,\text{OR-L}}{c \in \mathbb{N}, r \geq c, r \in \mathbb{N} \vdash r - c \in \mathbb{N}}\,\text{Arith}}{b \in \mathbb{N}, c \in \mathbb{N}, c > 0, r \geq c, a \in \mathbb{N}, b = a \times c + r, r \in \mathbb{N} \vdash r - c \in \mathbb{N}}\,\text{MON}$$

I_2: $r \in \mathbb{N}$

```
Event Progress
  when r >= c
  then
    r, a := r - c, a + 1
  end
```

Invariant preservation proofs

E_{Progress} / I_2 / INV

Progress I2 invariant proof

$$\dfrac{\dfrac{\dfrac{\dfrac{\dfrac{\dfrac{\dfrac{}{\vdash 0 \in \mathbb{N}}\,\text{P0}}{\vdash c - c \in \mathbb{N}}\,\text{Arith}}{c \in \mathbb{N}, c \in \mathbb{N} \vdash c - c \in \mathbb{N}}\,\text{MON}}{c \in \mathbb{N}, r = c, r \in \mathbb{N} \vdash r - c \in \mathbb{N}}\,\text{EQ-LR} \qquad \dfrac{\dfrac{\dfrac{\dfrac{}{\quad}\,\text{Arith}^*}{\quad}\,\text{MON}}{\quad}\,\text{Simp-M-Minus}}{c \in \mathbb{N}, r > c, r \in \mathbb{N} \vdash r - c \in \mathbb{N}}\,\text{Arith-M-M-R}}{c \in \mathbb{N}, r = c \lor r > c, r \in \mathbb{N} \vdash r - c \in \mathbb{N}}\,\text{OR-L}}{c \in \mathbb{N}, r \geq c, r \in \mathbb{N} \vdash r - c \in \mathbb{N}}\,\text{Arith}}{b \in \mathbb{N}, c \in \mathbb{N}, c > 0, r \geq c, a \in \mathbb{N}, b = a \times c + r, r \in \mathbb{N} \vdash r - c \in \mathbb{N}}\,\text{MON}$$

I_2: $r \in \mathbb{N}$

```
Event Progress
  when r >= c
  then
    r, a := r - c, a + 1
  end
```

Invariant preservation proofs

E_{Progress} / I_2 / INV

Progress I2 invariant proof

$$
\dfrac{
  \dfrac{
    \dfrac{
      \dfrac{
        \dfrac{}{\vdash 0 \in \mathbb{N}}\;\text{P0}
      }{\vdash c - c \in \mathbb{N}}\;\text{Arith}
    }{c \in \mathbb{N}, c \in \mathbb{N} \vdash c - c \in \mathbb{N}}\;\text{MON}
  }{c \in \mathbb{N}, r = c, r \in \mathbb{N} \vdash r - c \in \mathbb{N}}\;\text{EQ-LR}
  \qquad
  \dfrac{
    \dfrac{
      \dfrac{
        \dfrac{}{}\;\text{Arith}^{*}
      }{}\;\text{MON}
    }{c \in \mathbb{N}, r - c > c - c, r \in \mathbb{N} \vdash r - c \in \mathbb{N}}\;\text{Simp-M-Minus}
  }{c \in \mathbb{N}, r > c, r \in \mathbb{N} \vdash r - c \in \mathbb{N}}\;\text{Arith-M-M-R}
}{
  \dfrac{c \in \mathbb{N}, r = c \lor r > c, r \in \mathbb{N} \vdash r - c \in \mathbb{N}}{
    \dfrac{c \in \mathbb{N}, r \geq c, r \in \mathbb{N} \vdash r - c \in \mathbb{N}}{b \in \mathbb{N}, c \in \mathbb{N}, c > 0, r \geq c, a \in \mathbb{N}, b = a \times c + r, r \in \mathbb{N} \vdash r - c \in \mathbb{N}}\;\text{MON}
  }\;\text{Arith}
}\;\text{OR-L}
$$

I_2: $r \in \mathbb{N}$

```
Event Progress
  when r >= c
  then
    r, a := r - c, a + 1
  end
```

Invariant preservation proofs

E_{Progress} / I_2 / INV

Progress I2 invariant proof

$$
\dfrac{
\dfrac{
\dfrac{
\dfrac{
\dfrac{}{\vdash 0 \in \mathbb{N}}\;\text{PO}
}{\vdash c - c \in \mathbb{N}}\;\text{Arith}
}{c \in \mathbb{N}, c \in \mathbb{N} \vdash c - c \in \mathbb{N}}\;\text{MON}
}{c \in \mathbb{N}, r = c, r \in \mathbb{N} \vdash r - c \in \mathbb{N}}\;\text{EQ-LR}
\qquad
\dfrac{
\dfrac{
\dfrac{
\dfrac{}{}\;\text{Arith}^{*}
}{c \in \mathbb{N}, r - c > 0, r \in \mathbb{N} \vdash r - c \in \mathbb{N}}\;\text{MON}
}{c \in \mathbb{N}, r - c > c - c, r \in \mathbb{N} \vdash r - c \in \mathbb{N}}\;\text{Simp-M-Minus}
}{c \in \mathbb{N}, r > c, r \in \mathbb{N} \vdash r - c \in \mathbb{N}}\;\text{Arith-M-M-R}
}{
\dfrac{
\dfrac{c \in \mathbb{N}, r = c \lor r > c, r \in \mathbb{N} \vdash r - c \in \mathbb{N}}{c \in \mathbb{N}, r \geq c, r \in \mathbb{N} \vdash r - c \in \mathbb{N}}\;\text{Arith}
}{b \in \mathbb{N}, c \in \mathbb{N}, c > 0, r \geq c, a \in \mathbb{N}, b = a \times c + r, r \in \mathbb{N} \vdash r - c \in \mathbb{N}}\;\text{MON}
}\;\text{OR-L}
$$

I_2: $r \in \mathbb{N}$

```
Event Progress
  when r >= c
  then
    r, a := r - c, a + 1
  end
```

Invariant preservation proofs

E_{Progress} / I_2 / INV

Progress I2 invariant proof

$$
\dfrac{
  \dfrac{
    \dfrac{
      \dfrac{
        \dfrac{}{\vdash 0 \in \mathbb{N}}\ \text{P0}
      }{\vdash c - c \in \mathbb{N}}\ \text{Arith}
    }{c \in \mathbb{N}, c \in \mathbb{N} \vdash c - c \in \mathbb{N}}\ \text{MON}
  }{c \in \mathbb{N}, r = c, r \in \mathbb{N} \vdash r - c \in \mathbb{N}}\ \text{EQ-LR}
  \quad
  \dfrac{
    \dfrac{
      \dfrac{
        \dfrac{}{r - c > 0 \vdash r - c \in \mathbb{N}}\ \text{Arith}^*
      }{c \in \mathbb{N}, r - c > 0, r \in \mathbb{N} \vdash r - c \in \mathbb{N}}\ \text{MON}
    }{c \in \mathbb{N}, r - c > c - c, r \in \mathbb{N} \vdash r - c \in \mathbb{N}}\ \text{Simp-M-Minus}
  }{c \in \mathbb{N}, r > c, r \in \mathbb{N} \vdash r - c \in \mathbb{N}}\ \text{Arith-M-M-R}
}{
  \dfrac{
    \dfrac{c \in \mathbb{N}, r = c \vee r > c, r \in \mathbb{N} \vdash r - c \in \mathbb{N}}{c \in \mathbb{N}, r \geq c, r \in \mathbb{N} \vdash r - c \in \mathbb{N}}\ \text{Arith}
  }{b \in \mathbb{N}, c \in \mathbb{N}, c > 0, r \geq c, a \in \mathbb{N}, b = a \times c + r, r \in \mathbb{N} \vdash r - c \in \mathbb{N}}\ \text{MON}
}\ \text{OR-L}
$$

I_2: $r \in \mathbb{N}$

```
Event Progress
  when r >= c
  then
    r, a := r - c, a + 1
  end
```

Invariant preservation proofs

E_{Progress} / I_3 / INV

Progress I3 invariant proof

HYP

Arith-M-Pl-Dist

Arith-M-Pl-Dist

Arith-Pl-M

MON

$$b \in \mathbb{N}, c \in \mathbb{N}, c > 0, r \geq c, a \in \mathbb{N}, r \in \mathbb{N}, b = a \times c + r \ \vdash \ b = (a+1) \times c + (r - c)$$

I_3: $b = a \times c + r$

```
Event Progress
  when r >= c
  then
    r, a := r - c, a + 1
  end
```

Invariant preservation proofs

E_{Progress} / I_3 / INV

Progress I3 invariant proof

$$\dfrac{\dfrac{\dfrac{\dfrac{\dfrac{}{}\ \text{HYP}}{}\ \text{Arith-M-Pl-Dist}}{}\ \text{Arith-M-Pl-Dist}}{b = a \times c + r \ \vdash\ b = (a+1) \times c + (r - c)}\ \text{Arith-Pl-M}}{b \in \mathbb{N}, c \in \mathbb{N}, c > 0, r \geq c, a \in \mathbb{N}, r \in \mathbb{N}, b = a \times c + r \ \vdash\ b = (a+1) \times c + (r - c)}\ \text{MON}$$

I_3: $b = a \times c + r$

```
Event Progress
  when r >= c
  then
    r, a := r - c, a + 1
  end
```

Invariant preservation proofs

E$_{\text{Progress}}$ / I$_3$ / INV

Progress I3 invariant proof

$$\cfrac{\cfrac{\cfrac{\cfrac{\cfrac{}{\qquad\qquad\qquad\qquad}\;\text{HYP}}{\qquad\qquad\qquad\qquad\qquad}\;\text{Arith-M-Pl-Dist}}{b = a \times c + r \;\vdash\; b = (a+1) \times c + r - c}\;\text{Arith-M-Pl-Dist}}{b = a \times c + r \;\vdash\; b = (a+1) \times c + (r - c)}\;\text{Arith-Pl-M}}{b \in \mathbb{N}, c \in \mathbb{N}, c > 0, r \geq c, a \in \mathbb{N}, r \in \mathbb{N}, b = a \times c + r \;\vdash\; b = (a+1) \times c + (r - c)}\;\text{MON}$$

I$_3$: $b = a \times c + r$

```
Event Progress
  when r >= c
  then
    r, a := r - c, a + 1
  end
```

Invariant preservation proofs

E_{Progress} / I_3 / INV

Progress I3 invariant proof

$$\dfrac{\dfrac{\dfrac{\dfrac{\dfrac{}{}\,\text{HYP}}{b = a \times c + r \vdash b = a \times c + c + r - c}\,\text{Arith-M-Pl-Dist}}{b = a \times c + r \vdash b = (a + 1) \times c + r - c}\,\text{Arith-M-Pl-Dist}}{b = a \times c + r \vdash b = (a + 1) \times c + (r - c)}\,\text{Arith-Pl-M}}{b \in \mathbb{N}, c \in \mathbb{N}, c > 0, r \geq c, a \in \mathbb{N}, r \in \mathbb{N}, b = a \times c + r \vdash b = (a + 1) \times c + (r - c)}\,\text{MON}$$

I_3: $b = a \times c + r$

```
Event Progress
  when r >= c
  then
    r, a := r - c, a + 1
  end
```

Invariant preservation proofs

$\mathrm{E}_{\mathrm{Progress}}$ / I_3 / INV

Progress I3 invariant proof

$$\dfrac{\dfrac{\dfrac{\dfrac{\dfrac{}{b = a \times c + r \vdash b = a \times c + r}\ \text{HYP}}{b = a \times c + r \vdash b = a \times c + c + r - c}\ \text{Arith-M-Pl-Dist}}{b = a \times c + r \vdash b = (a + 1) \times c + r - c}\ \text{Arith-M-Pl-Dist}}{b = a \times c + r \vdash b = (a + 1) \times c + (r - c)}\ \text{Arith-Pl-M}}{b \in \mathbb{N}, c \in \mathbb{N}, c > 0, r \geq c, a \in \mathbb{N}, r \in \mathbb{N}, b = a \times c + r \vdash b = (a + 1) \times c + (r - c)}\ \text{MON}$$

I_3: $b = a \times c + r$

```
Event Progress
  when r >= c
  then
    r, a := r - c, a + 1
  end
```

Invariant preservation proofs

Proofs for `Finish`

- $E_{\text{Finish}}/I_1/INV$
- $E_{\text{Finish}}/I_2/INV$
- $E_{\text{Finish}}/I_3/INV$

are trivial (Finish does not change anything)

Correctness: when Finish is executed, $I_3 \wedge G_{\texttt{Finish}} \Rightarrow a = \left\lfloor \frac{b}{c} \right\rfloor$ (with the definition given for integer division).

The first-order predicate calculus and its rules

- Handling of expressions, variables, quantifiers.
- There is a universe of objects.
- An expression is a formal text denoting an object: *apple, adam, father(adam), 3,* $8 + 3^2$, $\{adam, apple, 3^2\}$.
  - Expressions include set-theoretic and arithmetic notation.
- Predicates state properties of objects through the expressions that denote them.
- A predicate denotes nothing.
- An expression cannot be proved.
- A predicate cannot be evaluated.
- Predicates and expressions are not interchangeable.

Predicate logic: informal

We have a universe of objects. We make statements about these objects. Some examples follow.

$P(a)$: property P is true for object a

$P(a) \wedge \neg Q(b)$: property P is true for object a and property Q is false for object b

$R(a, b) \implies P(a) \vee P(b)$: if property R is true for a and b, then P is true for a, for b, or for both.

$\forall x \cdot P(x)$: For all elements x, P is true. P can be arbitrarily complex.

$\exists x \cdot P(x)$: For some element x, P is true. P can be arbitrarily complex.

Sweet Reason: A Field Guide to Modern Logic [HGTA11] is a delightful introduction to logic with many examples.

Predicate logic: informal

We have a universe of objects. We make statements about these objects. Some examples follow.

$P(a)$: property P is true for object a

$P(a) \wedge \neg Q(b)$: property P is true for object a and property Q is false for object b

The most relevant difference between propositional and predicate logic is the appearance of quantifiers and expressions.

$R(a, b) \Longrightarrow P(a) \vee P(b)$: if property R is true for a and b, then P is true for a, for b, or for both.

$\forall x \cdot P(x)$: For all elements x, P is true. P can be arbitrarily complex.

$\exists x \cdot P(x)$: For some element x, P is true. P can be arbitrarily complex.

Sweet Reason: A Field Guide to Modern Logic [HGTA11] is a delightful introduction to logic with many examples.

First-order predicate calculus: informal

$I(x, y)$ x loves y

$\forall x \cdot \forall y \cdot I(x, y)$

$\exists x \cdot \exists y \cdot I(x, y)$

$\forall x \cdot \exists y \cdot I(x, y)$

$\exists y \cdot \forall x \cdot I(x, y)$

$\forall y \cdot \exists x \cdot I(x, y)$

$\exists x \cdot \forall y \cdot I(x, y)$

$\forall x \cdot \neg I(x, x)$

We usually want to prove statements true or false. We use inference rules to prove truth or falsehood.

First-order predicate calculus: informal

$l(x, y)$	x loves y
$\forall x \cdot \forall y \cdot l(x, y)$	everyone loves everyone else (including oneself)
$\exists x \cdot \exists y \cdot l(x, y)$	
$\forall x \cdot \exists y \cdot l(x, y)$	
$\exists y \cdot \forall x \cdot l(x, y)$	
$\forall y \cdot \exists x \cdot l(x, y)$	
$\exists x \cdot \forall y \cdot l(x, y)$	
$\forall x \cdot \neg l(x, x)$	

We usually want to prove statements true or false. We use inference rules to prove truth or falsehood.

First-order predicate calculus: informal

$l(x, y)$	x loves y
$\forall x \cdot \forall y \cdot l(x, y)$	everyone loves everyone else (including oneself)
$\exists x \cdot \exists y \cdot l(x, y)$	at least a person loves someone
$\forall x \cdot \exists y \cdot l(x, y)$	
$\exists y \cdot \forall x \cdot l(x, y)$	
$\forall y \cdot \exists x \cdot l(x, y)$	
$\exists x \cdot \forall y \cdot l(x, y)$	
$\forall x \cdot \neg l(x, x)$	

We usually want to prove statements true or false. We use inference rules to prove truth or falsehood.

First-order predicate calculus: informal

$l(x, y)$	x loves y
$\forall x \cdot \forall y \cdot l(x, y)$	everyone loves everyone else (including oneself)
$\exists x \cdot \exists y \cdot l(x, y)$	at least a person loves someone
$\forall x \cdot \exists y \cdot l(x, y)$	everybody loves someone (not necessarily the same person)
$\exists y \cdot \forall x \cdot l(x, y)$	
$\forall y \cdot \exists x \cdot l(x, y)$	
$\exists x \cdot \forall y \cdot l(x, y)$	
$\forall x \cdot \neg l(x, x)$	

We usually want to prove statements true or false. We use inference rules to prove truth or falsehood.

First-order predicate calculus: informal

$l(x, y)$	x loves y
$\forall x \cdot \forall y \cdot l(x, y)$	everyone loves everyone else (including oneself)
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$\forall x \cdot \exists y \cdot l(x, y)$	everybody loves someone (not necessarily the same person)
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“If there is someone who is loved by everybody, then it is not the case that no one loves oneself.”	$[\exists y \cdot \forall x \cdot l(x, y)] \Rightarrow \neg[\forall x \cdot \neg l(x, x)]$

Note: scope of quantifiers; different variables even if same name.

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Some deductions and (non) equivalences

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(definition of existential quantifier)

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First-order predicate calculus: inference rules

$$\frac{\mathbf{H},\ \forall \mathbf{x} \cdot \mathbf{P(x)},\ \mathbf{P(E)} \ \vdash\ \mathbf{Q}}{\mathbf{H},\ \forall \mathbf{x} \cdot \mathbf{P(x)} \ \vdash\ \mathbf{Q}} \quad \textbf{ALL\_L}$$

where **E** is an expression

$$\frac{\mathbf{H} \ \vdash\ \mathbf{P(x)}}{\mathbf{H} \ \vdash\ \forall \mathbf{x} \cdot \mathbf{P(x)}} \quad \textbf{ALL\_R}$$

- In rule **ALL_R**, variable **x** is not free in **H**

First-order predicate calculus: inference rules

$$\frac{H,\ P(x)\ \vdash\ Q}{H,\ \exists x \cdot P(x)\ \vdash\ Q}\quad \textbf{XST\_L}$$

- In rule **XST_L**, variable **x** is not free in **H** and **Q**

$$\frac{H\ \vdash\ P(E)}{H\ \vdash\ \exists x \cdot P(x)}\quad \textbf{XST\_R}$$

where **E** is an expression

First-order predicate calculus: inference rules

Rules for equality (some already seen):

$$\frac{\mathbf{H(F),\ E = F\ \vdash\ P(F)}}{\mathbf{H(E),\ E = F\ \vdash\ P(E)}}\quad \textbf{EQ\_LR}$$

$$\frac{\mathbf{H(E),\ E = F\ \vdash\ P(E)}}{\mathbf{H(F),\ E = F\ \vdash\ P(F)}}\quad \textbf{EQ\_RL}$$

$$\frac{}{\mathbf{\vdash\ E = E}}\quad \textbf{EQL}$$

$$\begin{array}{c} \mathbf{H\ \vdash\ E = G\ \wedge\ F = I} \\ \hline\hline \mathbf{H\ \vdash\ E \mapsto F = G \mapsto I} \end{array}\quad \textbf{PAIR}$$

Note: E $\mapsto$ F denotes a *pair* (E, F) — we will use them later.

Inductive and non-inductive invariants

- We want to prove

$$A(c) \vdash I_j(E_{\text{init}}(v, c), c)$$
$$A(c), G_i(v, c), I_{1\ldots n}(v, c) \vdash I_j(E_i(v, c), c)$$

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- Invariants can be true but non-inductive if they cannot be proved from program

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Event INIT          Event Loop
  a:  x := 1          a:  x := 2*x - 1
end                 end
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- $x \geq 0$ looks like an invariant.
  Prove it is preserved.

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Inductive and non-inductive invariants

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$$
\begin{aligned}
A(c) &\vdash I_j(E_{\text{init}}(v,c),c)\\
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\end{aligned}
$$

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- It is not inductive (`Loop`: $x \geq 0 \vdash 2 * x - 1 \geq 0$?)
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- $x > 0$ is stronger than $x \geq 0$ (if $A \Rightarrow B$, A stronger than B.)
- Stronger invariants are preferred – as long as they are still invariants!

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$$\frac{}{\bot \vdash P}\ \text{CNTR}$$

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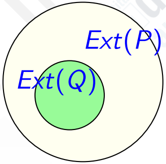
- Model-based:
  - If $Q \Rightarrow P$, then $Q \vdash P$.
  - Extension: $Ext(P) = \{x|P(x)\}$ (id. Q).
  - $Q \Rightarrow P$ iff $Ext(Q) \subseteq Ext(P)$. Why???



  - If $Q \equiv R \wedge \neg R$, $Ext(Q) = \varnothing$.
  - $\varnothing \subseteq S$, for any S.
  - Therefore, $Ext(R \wedge \neg R) \subseteq Ext(P)$ for any P.
  - Thus, $R \wedge \neg R \Rightarrow P$ and then $\perp \vdash P$.

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