



# Event B: Modeling and Reasoning with Data Structures<sup>1</sup>

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<sup>&</sup>lt;sup>1</sup>Theory, text, examples borrowed from J. R. Abrial: see



nfinite Lists	.s.4
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### **Strategy**



- Data structures involving pointers formalized with relations, functions.
- Specific axioms of these specific data structures give *properties* of the functions that model the data structures.
- These properties are necessary for theorem provers to discharge proofs on data structures.
- Specific forms of these axioms (capturing induction on the data structures) are well-suited to be used in automated proofs.
- We will focus on formalizing:
  - Infinite lists.
  - Finite lists.
  - Infinite trees.
  - Finite trees.



#### **Infinite lists**



- Set V of list nodes.
- Initial node f.
- Bijective *next* function

$$axm_1: f \in V$$

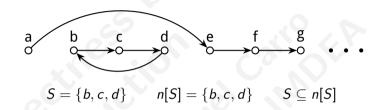
$$axm_2: n \in V \rightarrow V \setminus \{f\}$$

$$\stackrel{t}{\circ} \xrightarrow{n} \circ \longrightarrow \circ \longrightarrow \circ \longrightarrow \bullet \quad \bullet \quad \bullet$$









# No cycles:

a b c d e f g 
$$S = \{b, c, d\}$$
  $n[S] = \{c, d, e\}$   $S \nsubseteq n[S]$  (for almost any  $S \subseteq V$ )

### **Avoiding cycles**



- If a list has a cycle, then there is a  $S \subseteq V$  s.t.  $S \subseteq n[S]$ .
- On the other hand, it is always the case that  $\varnothing \subseteq n[\varnothing]$ .
- So we insist that this is the only case:

$$\mathsf{axm}\_3: \forall S \cdot S \subseteq V \land S \subseteq \mathit{n}[S] \Rightarrow S = \varnothing$$

- It can be used to prove properties in infinite lists.
- We will derive from it an axiom scheme of induction.



- Abscense of cycles:  $\forall S \cdot S \subseteq V \land S \subseteq n[S] \Rightarrow S = \emptyset$
- *S* can be written as  $S = V \setminus T$ , for some T
- Then:

$$\forall S \cdot S = V \setminus T \land \boxed{S \subseteq V} \land S \subseteq n[S] \Rightarrow S = \emptyset$$

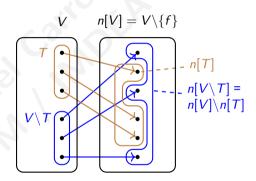
$$\uparrow$$
Redundant

- Removing redundant subformula:  $\forall S \cdot S = V \setminus T \land S \subseteq n[S] \Rightarrow S = \emptyset$
- Let us focus on  $S = \emptyset$



Let us simplify 
$$\forall S \cdot S = V \setminus T \land S \subseteq n[S] \Rightarrow S = \emptyset$$

- If  $S = V \setminus T$ , then  $S = \emptyset \equiv V \setminus T = \emptyset \equiv V \subseteq T$
- The non-cycle condition then becomes  $\forall S \cdot S = V \setminus T \land S \subseteq n[S] \Rightarrow V \subseteq T$
- Let us focus on *n*[*S*]
- Since  $S = V \setminus T$ ,  $n[S] = n[V \setminus T]$
- Since n is bijective, n[V\T] and n[T] don't intersect (see figure on the right)
- Therefore,  $n[V \setminus T] = n[V] \setminus n[T]$





- Since  $S = V \setminus T$  and  $n[V \setminus T] = n[V] \setminus n[T]$ ,  $S \subseteq n[S]$  becomes  $V \setminus T \subseteq n[V] \setminus n[T]$
- Let us simplify that condition
- By definition:  $f \in V$  and  $f \notin n[V \setminus T]$  (f is not in the range of n)
- Since  $V \setminus T \subseteq n[V \setminus T]$ ,  $f \notin V \setminus T$  (because  $f \notin n[V \setminus T]$  and  $V \setminus T$  contains a subset of  $n[V \setminus T]$ )
- Therefore f must be *subtracted* from V by T, and then  $f \in T$
- Also by definition,  $n[V] = V \setminus \{f\}$ .
- So we can rewrite  $V \setminus T \subseteq n[V] \setminus n[T]$  as  $V \setminus T \subseteq (V \setminus \{f\}) \setminus n[T]$



- Let us simplify  $\underbrace{V}_{e} \stackrel{b}{\searrow} \subseteq \underbrace{(V \setminus \{f\})}_{f} \setminus \underbrace{n[T]}_{e}$
- We know that  $f \in V$  and  $f \in T$ .
- *f* is not in set (f), and then it should not be in (e); it is removed by (b).
- Then we have to worry about how much is removed by (b) and (d).
- If (d) removes "too much", then (e) will be larger.
- I.e., if (d) contains an element that is not in (b), then (e) will contain an element that is not in (f).
- Therefore, (d) cannot contain elements that are not in (b).
- So the formula simplifies to  $n[T] \subseteq T$ .





### Putting all together, the non-cycle condition becomes

$$\forall S \cdot S = V \backslash T \land f \in T \land n[T] \subseteq T \Rightarrow V \subseteq T$$

If we expand  $n[T] \subseteq T$ :

$$\mathsf{thm}\_2: \forall T \cdot f \in T \land (\forall x \cdot x \in T \Rightarrow n(x) \in T) \Rightarrow V \subseteq T$$

- T the set of elements with some property P:  $T = \{x | P(x)\}$
- So the meaning of thm\_2 is:
  - If the initial node f has property P ( $f \in T$ ), and
  - For every element with property P ( $x \in T$ ), the next one has this property ( $n(x) \in T$ ), then
  - All elements have property P ( $V \subseteq T$ ).



# Using thm\_2 to prove list properties



- We want to prove P(x) for all  $x \in V$ .
- Elements for which P holds:  $T = \{x | x \in V \land P(X)\}.$ 
  - We want to prove that T = V.

- Since by construction  $T \subset V$ , it is enough to prove  $V \subseteq T$ .
- We do that by instantiating T in thm\_2.

$$f \in \{x | x \in V \land P(x)\} \qquad \land$$
$$\forall x \cdot x \in \{x | x \in V \land P(x)\} \Rightarrow n(x) \in \{x | x \in V \land P(x)\} \Rightarrow$$
$$V \subseteq \{x | x \in V \land P(x)\}$$

- $f \in \{x | x \in V \land P(x)\} \equiv P(f)$ .
- Second part equivalent to  $\forall x \cdot x \in V \land P(x) \Rightarrow P(n(x)).$

 The RHS is equivalent to  $\forall x \cdot x \in V \Rightarrow P(x)$ .

#### **Finite lists**



• Basically as infinite lists, but including a last (/) element and a different axiom 2:

 $axm_4: I \in V$ 

 $axm_5$ : finite(V)

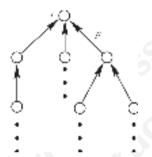
 $axm_2': n \in V \setminus \{I\} \rightarrow V \setminus \{f\}$ 

induction :  $\forall T \cdot T \subseteq V \land f \in T \land (\forall x \cdot x \in V \setminus \{I\} \land x \in T \Rightarrow n(x) \in T) \Rightarrow V \subseteq T$ 

#### **Infinite trees**







- *t* is the root.
- *p* links node with parent (surjection).
- No cycles.

$$\mathsf{axm}\_1: t \in V$$

$$\mathsf{axm}\_2: \quad p \in V \setminus \{t\} \twoheadrightarrow V$$

$$axm_3: \forall S \cdot S \subseteq p^{-1}[S] \Rightarrow S = \emptyset$$

#### Induction rule:

$$\forall T \cdot t \in T \wedge p^{-1}[T] \subseteq T \Rightarrow V \subseteq T$$

Instantiation to prove properties:

$$\forall T \cdot T \subseteq V \land t \in T \land (\forall x \cdot x \in V \setminus \{t\} \land p(x) \in T \Rightarrow x \in T)$$
$$\Rightarrow V \subseteq T$$

Note: placement of p in implication is opposite w.r.r. f for lists – "direction" of arrows reversed!

#### **Finite trees**



- t is the root.
- p relates every node with its parent.
- *L* is the set of tree leaves.
- There should not be cycles.

 $axm_1: t \in V$ 

 $axm_2: L \subseteq V$ 

 $axm_3: p \in V \setminus \{t\} \rightarrow V \setminus L$ 

 $axm_4: \forall S \cdot S \subseteq p^{-1}[S] \Rightarrow S = \emptyset$ 

The induction scheme is as in infinite trees.