

Event-B: Introduction and First Steps¹

Manuel Carro
manuel.carro@upm.es

Universidad Politécnica de Madrid &
 IMDEA Software Institute

¹Many slides borrowed from J. R. Abrial

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Conventions

I will sometimes use boxes with different meanings.

- Quiz to do together during the lecture.

Q: What happens in this case?

solution
 solution
 solution

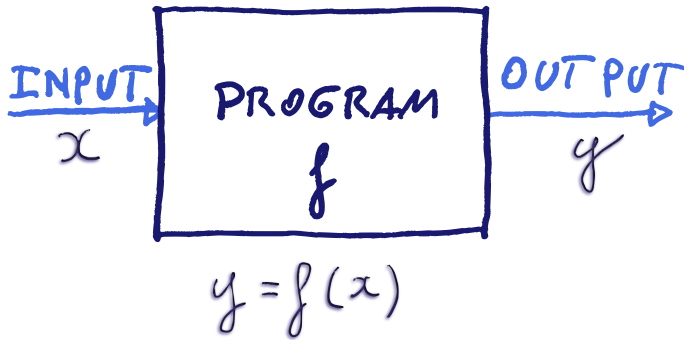
- Material / solutions that I want to develop during the lecture.

Something to complete here

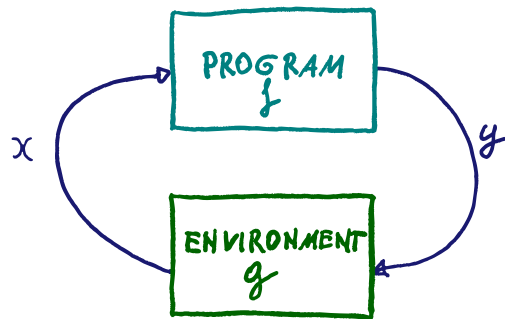
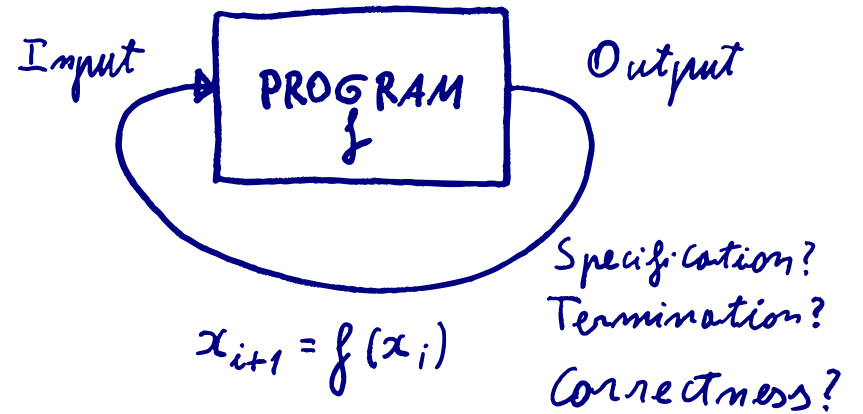
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Event B

An industry-oriented method, language, and set of supporting tools to describe systems of interacting, reactive software, hardware components, and their environment, and to reason about them.



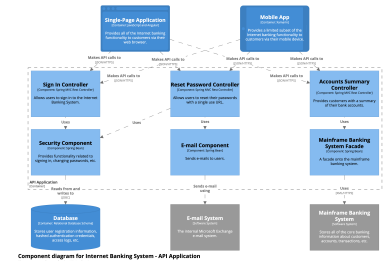
Specification: remember sorting program.



$$y_0 = f(x_0), x_1 = g(y_0), y_1 = f(x_1), x_2 = g(y_1), \dots$$

Effects of environment?

- Functionality often not too complex.
 - Algorithms / data structures relatively simple.
 - Underlying maths of reasonable complexity.
- Requirements document usually poor.
- Reactive and concurrent by nature.
 - But often coarse: protecting (large) critical regions often enough.



- Many special cases.
- Communication with hardware / environment involved.
- Many details (\approx properties to ensure) to be taken into account.
- Large (in terms of LOCs).

Producing correct (software) systems hard — but not necessarily from a theoretical point of view.

Typical approaches and problems

Usual approach

- Choose a platform.
- Write software specifications (which often neglect or under-represent the environment).
- Design by cutting in small pieces with well-defined communication.
- Code and test / verify units.
- Integrate and test.

Typical approaches and problems

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Pitfalls

- Often too many details / interactions / properties to take into account.
- Cutting in pieces: poor job in taming complexity.
 - Small pieces: easy to prove them right.
 - Additional relationships created!
 - Overall complexity not reduced.
- Modeling environment?
E.g., we expect a car driver to stop at a red light.
- Result: system as a whole seldom verified.

The Event B approach

Complexity: Model Refinement

- System built incrementally, monotonically.
 - Take into account subset of requirements at each step.
 - Build model of a *partial* system.
 - Prove its correctness.
- **Add** requirements to the model, ensure correctness:
 - The requirements correctly captured by the new model.
 - New model preserves properties of previous model.

Details: Tool Support

- Tool to edit Event B models (Rodin).
- Generates *proof obligations*: theorems to be proved to ensure correctness.
- Interfaced with (interactive) theorem provers.
- Extensible.

Basic ideas

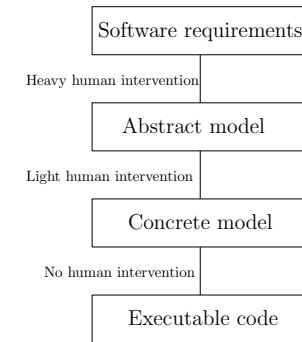
- Model: **formal** description of a **discrete** system.
 - **Formal**: sound mechanism to decide whether some properties hold
 - **Discrete**: can be represented as a **transition system**

Basic ideas

- Model: **formal** description of a **discrete** system.
 - **Formal**: sound mechanism to decide whether some properties hold
 - **Discrete**: can be represented as a **transition system**
- Formalization contains models of:
 - The **future software** components
 - The **future equipments** surrounding these components

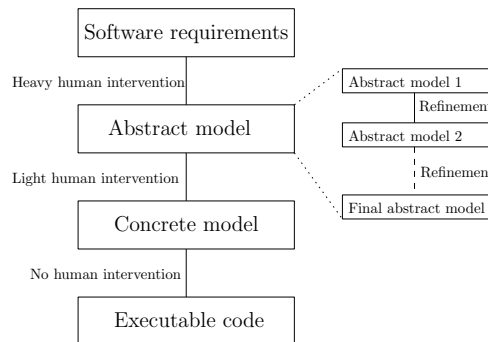
Refinement

- Refinement allows us to build a model **gradually**.
- **Ordered sequence** of more precise partial models.
- Each model is a **refinement** of the one preceding it.
- Each model is proven:
 - Correct.
 - Respecting the boundaries of the previous one.



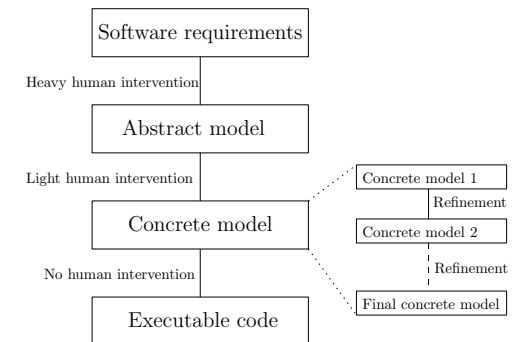
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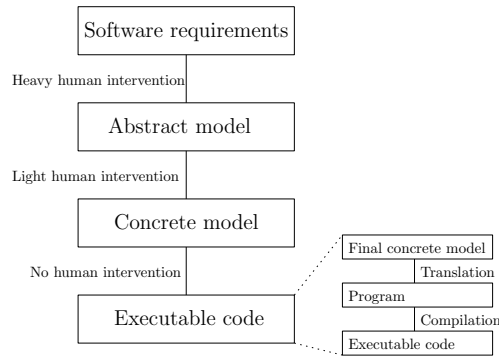
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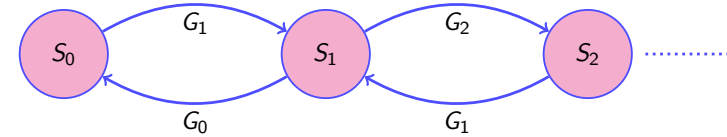
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Models and states

A discrete model is made of **states**



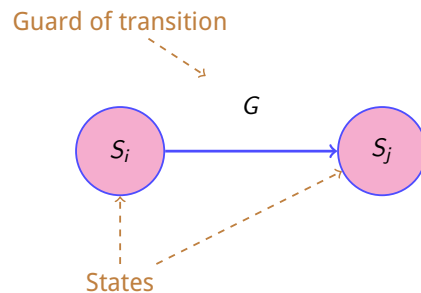
What is its relationship with a regular program?

- States are represented by **constants**, **variables**, and their relationships
- Relationships among constants and variables written using set-theoretic expressions

$$S_i = \langle c_1, \dots, c_n, v_1, \dots, v_m \rangle$$

States and transitions

- Transitions between states: triggered by **events**
- Events: **guards** and **actions**
 - Guard** (G_i) denote **enabling conditions** of events
 - Actions** denote how state is **modified** by event
- Guards** and **actions** written with set-theoretic expressions (e.g., first-order, classical logic).
- Event B based on set theory.



Examples:

$$S_i \equiv x = 0 \wedge y = 7$$

$$S_i \equiv x, y \in \mathbb{N} \wedge x < 4 \wedge y < 5 \wedge x + y < 7$$

Write extensional definition for the latter

A simple example – informal introduction!

Search for element k in array f of length n , assuming k is in f .

Constants / Axioms

```
CONST n ∈ ℕ
CONST f ∈ 1..n → ℕ
CONST k ∈ ran(f)
```

Variables / Invariants

```
VARIABLE i ∈ 1..n
```

Event Search

```
when
  i < n ∧ f(i) ≠ k
then
  i := i + 1
end
```

Event Found

```
when
  f(i) = k
then
  skip
end
```

(initialization of i not shown for brevity)

```
Event EventName
  when
    guard: G(v, c)
  then
    action: v := E(v, c)
  end
```

- Executing an event (normally) changes the system state.
- An event can fire when its guard evaluates to true.
- $G(v, c)$ predicate that enables EventName
- $v := E(v, c)$ is a state transformer.
 - Formally, a predicate $Act_E(v, c, v')$
 - v' is renamed to v after the predicate.

```
Initialize;
while (some events have true guards) {
  Choose one such event;
  Modify the state accordingly;
}
```

```
Event EventName
  when
    guard: G(v, c)
  then
    action: v := E(v, c)
  end
```

• Now: **informal** Event B semantics.
 • Actual Event B semantics based on **set theory** and **invariants** — Later!

- An event execution takes **no time**.
 - No two events occur simultaneously.
- If all guards false, **system stops**.
- Otherwise: choose **one** event with true guard, **execute** action, **modify state**.
- Previous phase **repeated** (if possible).

Fairness: what is it? What should we expect?

- Stopping is not necessary: a discrete system may run **forever**.
- This interpretation is just given here for **informal** understanding
- The **meaning** of such a discrete system will be given by the **proofs** which can be performed on it (next lectures).^a

On using sequential code

^aJ. R. Abrial: *The B method: assigning programs to meanings*.

*To help understanding, we will now write some sequential code first, translate it into Event B, and then proving correctness. This does **not** follow Event B workflow, which goes in the opposite direction: write Event B models and derive sequential / concurrent code from them.*

$$a = \left\lfloor \frac{b}{c} \right\rfloor$$

- Characterize it: we want to define integer division, **without** using division.

Q: specification of division

$$\forall b \forall c [b \in \mathbb{N} \wedge c \in \mathbb{N} \wedge c > 0 \Rightarrow \exists a \exists r [a \in \mathbb{N} \wedge r \in \mathbb{N} \wedge r < c \wedge b = c \times a + r]]$$

It is useful to categorize the specification as **assumptions** (preconditions)

$$b \in \mathbb{N} \wedge c \in \mathbb{N} \wedge c > 0$$

and **results** (postconditions)

$$a \in \mathbb{N} \wedge r \in \mathbb{N} \wedge r < c \wedge b = c \times a + r$$

Input / output / variables / constants / types?

Zero

There is no universal agreement about whether to include zero in the set of natural numbers. Some authors begin the natural numbers with 0, corresponding to the non-negative integers 0, 1, 2, 3, ..., whereas others start with 1, corresponding to the positive integers 1, 2, 3, ... This distinction is of no fundamental concern for the natural numbers as such.

I will assume that $0 \in \mathbb{N}$. That is the convention in computer science.

Programming integer division

- We have addition and subtraction
- We have a simple procedural language
- Variables, assignment, loops, if-then-else, + & -, arith. operators, ...

Q: integer division code

```
a := 0
r := b
while r >= c
  r := r - c
  a := a + 1
```

Zero

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I will assume that $0 \in \mathbb{N}$. That is the convention in computer science.

If you write $\forall b \in \mathbb{N}, c \in \mathbb{N}, c > 0 \cdot \exists a \in \mathbb{N}, r \in \mathbb{N}, r < c \cdot b = c \times a + r$ remember:

- Commas mean conjunction.
- Nesting may need disambiguation.
- $\forall x \in D \cdot P(x)$ means $\forall x[x \in D \Rightarrow P(x)]$
- $\exists x \in D \cdot P(x)$ means $\exists x[x \in D \wedge P(x)]$

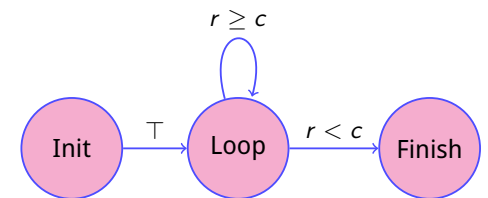
See <https://twitter.com/lorisdanto/status/1354128808740327425?s=20> and <https://twitter.com/lorisdanto/status/1354214767590842369?s=20>

Programming integer division

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Q: integer division code

```
a := 0
r := b
while r >= c
  r := r - c
  a := a + 1
```



Copy the code! We will need it!

This step is not taken in Event B. We are writing this code only for illustration purposes.

Towards events

Template

```
Event EventName
when
  G(v, c)
then
  v := E(v, c)
end
```

Code

```
a := 0
r := b
while r >= c
  r := r - c
  a := a + 1
end
```

- Special initialization event (**INIT**).
- Sequential program (special case):
 - *Finish* event, *Progress* events
 - Guards exclude each other (determinism) **Prove!**
 - Non-deadlock: some guard always true **Prove!**
 - A variable is reduced (termination) **Prove!**

Q: integer division events

```
Event INIT
  a, r = 0, b
end

Event Progress
  when
    r >= c
  then
    r, a := r - c, a + 1
  end

Event Finish
  when
    r < c
  then
    skip
  end
```

Categorizing elements

<p>Constants</p> <p style="text-align: right;">Q: constants</p> <p>b c</p>	<p>Axioms (Write them down separately!)</p> <p style="text-align: right;">Q: axioms</p> <p>$b \in \mathbb{N}$ $c \in \mathbb{N}$ $c > 0$</p>
<p>Variables</p> <p style="text-align: right;">Q: variables</p> <p>a r</p>	<p>Invariants</p> <p style="text-align: center;">Later!</p>

```
Event INIT
  a, r = 0, b
end
```

```
Event Progress
  when r >= c
  then
    r, a := r - c, a + 1
  end
```

```
Event Finish
  when r < c
  then
    skip
  end
```

Proving correctness



How do **you** prove your programs correct?

Proving correctness



How do **you** prove your programs correct?

- Correctness in sequential programs: post-condition holds.
- Easy if no (or statically bound) loops.
- Prove that this code swaps x and y:

```
x := x + y;
y := x - y;
x := x - y;
```


Proving correctness



How do **you** prove your programs correct?

- Correctness in sequential programs: post-condition holds.
- Easy if no (or statically bound) loops.
- Prove that this code swaps x and y :

```
{x = a, y = b}
x := x + y;
y := x - y;
x := x - y;
{x = b, y = a}
```

Proving correctness



How do **you** prove your programs correct?

- Correctness in sequential programs: post-condition holds.
- Easy if no (or statically bound) loops.
- Prove that this code swaps x and y :

```
{x = a, y = b}
x := x + y; {x = a + b, y = b}
y := x - y;
x := x - y;
{x = b, y = a}
```

Proving correctness



How do **you** prove your programs correct?

- Correctness in sequential programs: post-condition holds.
- Easy if no (or statically bound) loops.
- Prove that this code swaps x and y :

```
{x = a, y = b}
x := x + y; {x = a + b, y = b}
y := x - y; {x = a + b, y = a}
x := x - y;
{x = b, y = a}
```

Proving correctness



How do **you** prove your programs correct?

- Correctness in sequential programs: post-condition holds.
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- Prove that this code swaps x and y :

```
{x = a, y = b}
x := x + y; {x = a + b, y = b}
y := x - y; {x = a + b, y = a}
x := x - y; {x = b, y = a}
{x = b, y = a}
```

Loops: much more difficult

- # iterations unknown. (remember Collatz's conjecture)

```
while r >= c do
    r := r - c
    a := a + 1
end
```

Invariant: formula that is "always" true.

- Procedural code: beginning and end of every loop iteration.
- Event-B: after initialization, after every event (essentially same idea).

Intuition:

- If invariant implies postcondition, then we can prove postcondition.
- Nobody gives us invariants.
 - We have to find them.
 - We have to prove they are invariants.

Loops: much more difficult

- # iterations unknown. (remember Collatz's conjecture)

```
{I(a, r)}
while r >= c do
    {I(a, r)}
    r := r - c
    a := a + 1
    {I(a, r)}
end
{I(a, r) ∧ r < c ⇒ a = ⌊ b/c ⌋}
```

Invariant: formula that is "always" true.

- Procedural code: beginning and end of every loop iteration.
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 - We have to find them.
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Finding invariants

Which assertions are invariant in our model?

One formula that is an invariant for **any** Event-B model / loop.

Q: model invariants

```
I1: a ∈ ℕ // Type invariant
I2: r ∈ ℕ // Type invariant
I3: b = a × c + r
```

Q: trivial invariant

⊤

```
Event INIT
  a, r = 0, b
end
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Event Progress
  when r >= c
  then
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Event Finish
  when r < c
  then
    skip
  end
```

Copy invariants somewhere else – we will need to have them handy

Invariant preservation in Event B

- Invariants must be true before and after event execution.
- For all event i , invariant j :

Establishment:

$$A(c) \vdash I_j(E_{\text{init}}(v, c), c)$$

Preservation:

$$A(c), G_i(v, c), I_{1\dots n}(v, c) \vdash I_j(E_i(v, c), c)$$

- $A(c)$ axioms
- $G_i(v, c)$ guard of event i
- $I_j(v, c)$ invariant j
- $I_{1\dots n}(v, c)$ all the invariants
- $E_i(v, c)$ result of action i

Sequent

$$\Gamma \vdash \Delta$$

Show that Δ can be proved using assumptions Γ

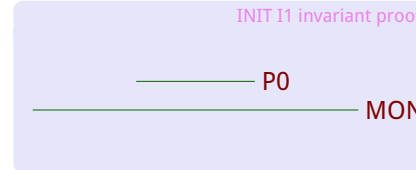
Invariant preservation

If an invariant holds and the guards of an event are true and we execute the event's action, the invariant should hold.

Invariant preservation proofs

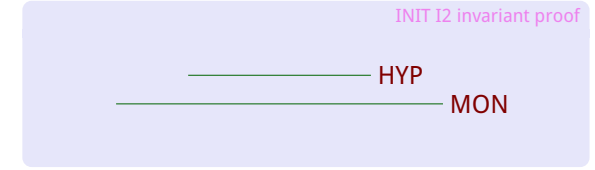
- Invariant preservation proven using model and math axioms.
- Three invariants & three events: nine proofs

$E_{\text{INIT}} / I_1 / \text{INV}$



Event INIT
a, r = 0, b
end

$E_{\text{INIT}} / I_2 / \text{INV}$

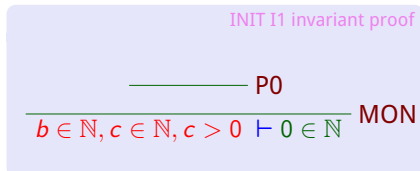


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Invariant preservation proofs

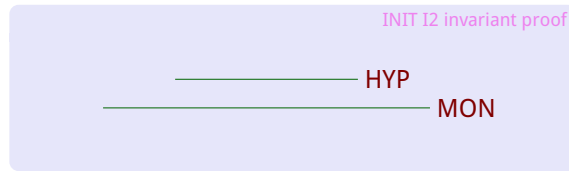
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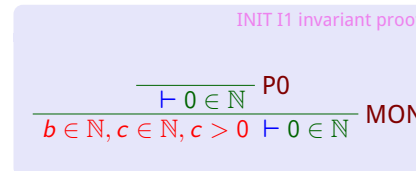


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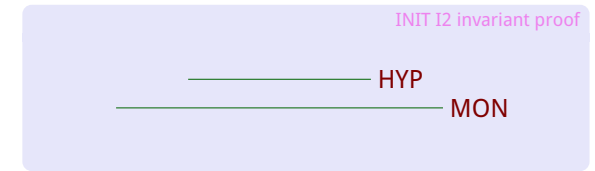
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$E_{\text{INIT}} / I_1 / \text{INV}$



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end

$E_{\text{INIT}} / I_2 / \text{INV}$



Event Progress
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Invariant preservation proofs

- Invariant preservation proven using model and math axioms.
- Three invariants & three events: nine

- proofs
- Named as e.g. $E_{\text{Progress}}/I_2/\text{INV}$
 - Other proofs will be necessary later

$E_{\text{INIT}} / I_1 / \text{INV}$

$E_{\text{INIT}} / I_2 / \text{INV}$

INIT I1 invariant proof

$$\frac{\frac{\frac{}{\vdash 0 \in \mathbb{N}} \text{PO}}{b \in \mathbb{N}, c \in \mathbb{N}, c > 0 \vdash 0 \in \mathbb{N}} \text{MON}}{\text{MON}} \text{MON}$$

INIT I2 invariant proof

$$\frac{\frac{\frac{}{\text{HYP}}}{b \in \mathbb{N}, c \in \mathbb{N}, c > 0 \vdash b \in \mathbb{N}} \text{MON}}{\text{MON}} \text{MON}$$

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Invariant preservation proofs

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$E_{\text{INIT}} / I_1 / \text{INV}$

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INIT I1 invariant proof

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INIT I2 invariant proof

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Event INIT
a, r = 0, b
end

Event Progress
when r >= c
then
r, a := r - c, a + 1
end



Invariant preservation proofs

$E_{\text{INIT}} / I_3 / \text{INV}$

INIT I3 invariant proof

$$\frac{\frac{\frac{\frac{}{\text{EQL}}}{\text{Arith}}}{\text{Arith}}}{\text{MON}} \text{MON}$$

$E_{\text{Progress}} / I_1 / \text{INV}$

Progress I1 invariant proof

$$\frac{\frac{\frac{}{\text{P1}}}{\text{MON}} \text{MON}}{\text{MON}} \text{MON}$$

Event INIT
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Invariant preservation proofs

$E_{\text{INIT}} / I_3 / \text{INV}$

INIT I3 invariant proof

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$E_{\text{Progress}} / I_1 / \text{INV}$

Progress I1 invariant proof

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Invariant preservation proofs

$E_{INIT} / I_3 / INV$

$$\frac{\frac{\frac{\frac{}{} \text{EQL}}{\vdash b = b} \text{Arith}}{\vdash b = 0 + b} \text{Arith}}{\vdash b = 0 \times c + b} \text{Arith}}{b \in \mathbb{N}, c \in \mathbb{N}, c > 0 \vdash b = 0 \times c + b} \text{MON}$$

INIT I3 invariant proof

$E_{Progress} / I_1 / INV$

$$\frac{}{} \text{P1} \quad \text{Progress I1 invariant proof}$$

MON

Event INIT
a, r = 0, b
end

Event Progress
when r >= c
then
r, a := r - c, a + 1
end



Invariant preservation proofs

$E_{INIT} / I_3 / INV$

$$\frac{\frac{\frac{\frac{}{} \text{EQL}}{\vdash b = b} \text{Arith}}{\vdash b = 0 + b} \text{Arith}}{\vdash b = 0 \times c + b} \text{Arith}}{b \in \mathbb{N}, c \in \mathbb{N}, c > 0 \vdash b = 0 \times c + b} \text{MON}$$

INIT I3 invariant proof

$E_{Progress} / I_1 / INV$

$$\frac{}{} \text{P1} \quad \text{Progress I1 invariant proof}$$

MON

Event INIT
a, r = 0, b
end

Event Progress
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then
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Invariant preservation proofs

$E_{INIT} / I_3 / INV$

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INIT I3 invariant proof

$E_{Progress} / I_1 / INV$

$$\frac{}{} \text{P1} \quad \text{Progress I1 invariant proof}$$

$b \in \mathbb{N}, c \in \mathbb{N}, c > 0, r \geq c, r \in \mathbb{N}, b = a \times c + r, a \in \mathbb{N} \vdash a + 1 \in \mathbb{N}$ MON

Event INIT
a, r = 0, b
end

Event Progress
when r >= c
then
r, a := r - c, a + 1
end



Invariant preservation proofs

$E_{INIT} / I_3 / INV$

$$\frac{\frac{\frac{\frac{}{\vdash b = b} \text{EQL}}{\vdash b = 0 + b} \text{Arith}}{\vdash b = 0 \times c + b} \text{Arith}}{b \in \mathbb{N}, c \in \mathbb{N}, c > 0 \vdash b = 0 \times c + b} \text{MON}}{\text{INIT I3 invariant proof}}$$

$E_{Progress} / I_1 / INV$

$$\frac{\frac{}{a \in \mathbb{N} \vdash a + 1 \in \mathbb{N}} \text{P1}}{b \in \mathbb{N}, c \in \mathbb{N}, c > 0, r \geq c, r \in \mathbb{N}, b = a \times c + r, a \in \mathbb{N} \vdash a + 1 \in \mathbb{N}} \text{MON}}{\text{Progress I1 invariant proof}}$$

Event INIT
a, r = 0, b
end

Event Progress
when r >= c
then
r, a := r - c, a + 1
end



Sequents

- Mechanize proofs
 - Humans “understand”; proving is tiresome and error-prone
 - Computers manipulate symbols
- How can we mechanically construct correct proofs?
 - Every step crystal clear
 - For a computer to perform
- Several approaches
- For Event B: sequent calculus
 - **To read:** [Pau] (available at course web page), at least Sect. 3.3 to 3.5, 5.4, and 5.5. Note: when we use $\Gamma \vdash \Delta$, Paulson uses $\Gamma \Rightarrow \Delta$.
 - **Also:** [Orib, Ori], available at the course web page.
- Admissible deductions: inference rules.



Inference rules

- An **inference rule** is a tool to **build** a formal proof.
 - It not only tells you whether $\Gamma \vdash \Delta$: it tells you how.
- It is denoted by:

$$\frac{A}{C} R$$

- A is a (possibly empty) **collection** of sequents: the **antecedents**.
- C is a sequent: the **consequent**.
- R is the name of the rule.

The proofs of each sequent of A
—— together give you ——
a proof of sequent C



An example of inference rule

Note: not exactly the inference rules we will use. Only an intuitive example.

- A(lice) and B(ob) are siblings:

$$\frac{C \text{ is mother of A} \quad C \text{ is mother of B}}{A \text{ and B are siblings}} \text{Sibling-M}$$

$$\frac{C \text{ is father of A} \quad C \text{ is father of B}}{A \text{ and B are siblings}} \text{Sibling-F}$$

- Note: we do not consider the case that, e.g., C is a father and a mother.

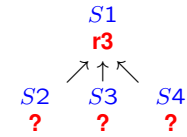


$$\frac{\overline{S2}r1 \quad \frac{S7}{S4}r2 \quad \frac{S2 \ S3 \ S4}{S1}r3 \quad \overline{S5}r4 \quad \frac{S5 \ S6}{S3}r5 \quad \overline{S6}r6 \quad \overline{S7}r7}{S1}?$$

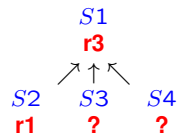
$S1$
?



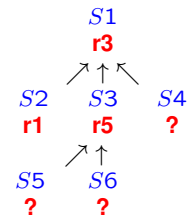
$$\frac{\overline{S2}r1 \quad \frac{S7}{S4}r2 \quad \frac{S2 \ S3 \ S4}{S1}r3 \quad \overline{S5}r4 \quad \frac{S5 \ S6}{S3}r5 \quad \overline{S6}r6 \quad \overline{S7}r7}{S1}?$$



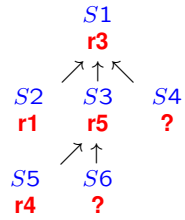
$$\frac{\overline{S2}r1 \quad \frac{S7}{S4}r2 \quad \frac{S2 \ S3 \ S4}{S1}r3 \quad \overline{S5}r4 \quad \frac{S5 \ S6}{S3}r5 \quad \overline{S6}r6 \quad \overline{S7}r7}{S1}?$$



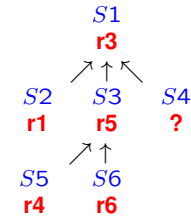
$$\frac{\overline{S2}r1 \quad \frac{S7}{S4}r2 \quad \frac{S2 \ S3 \ S4}{S1}r3 \quad \overline{S5}r4 \quad \frac{S5 \ S6}{S3}r5 \quad \overline{S6}r6 \quad \overline{S7}r7}{S1}?$$



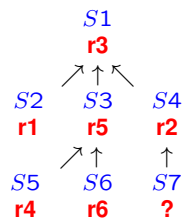
$$\frac{\overline{S2}r1 \quad \frac{S7}{S4}r2 \quad \frac{S2 \ S3 \ S4}{S1}r3 \quad \overline{S5}r4 \quad \frac{S5 \ S6}{S3}r5 \quad \overline{S6}r6 \quad \overline{S7}r7}{S1}r3$$



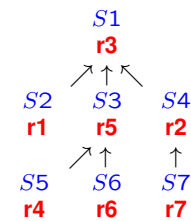
$$\frac{\overline{S2}r1 \quad \frac{S7}{S4}r2 \quad \frac{S2 \ S3 \ S4}{S1}r3 \quad \overline{S5}r4 \quad \frac{S5 \ S6}{S3}r5 \quad \overline{S6}r6 \quad \overline{S7}r7}{S1}r3$$

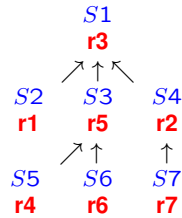
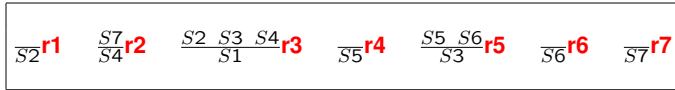


$$\frac{\overline{S2}r1 \quad \frac{S7}{S4}r2 \quad \frac{S2 \ S3 \ S4}{S1}r3 \quad \overline{S5}r4 \quad \frac{S5 \ S6}{S3}r5 \quad \overline{S6}r6 \quad \overline{S7}r7}{S1}r3$$



$$\frac{\overline{S2}r1 \quad \frac{S7}{S4}r2 \quad \frac{S2 \ S3 \ S4}{S1}r3 \quad \overline{S5}r4 \quad \frac{S5 \ S6}{S3}r5 \quad \overline{S6}r6 \quad \overline{S7}r7}{S1}r3$$





- The proof is a **tree**

Deduction systems

- There are many formal deduction systems [Ben12, Sect. 3.9].
- We will use a variant of the so-called **Gentzen** deduction systems.

Sequent $\Gamma \vdash \Delta$ in a Gentzen system

- Γ : (possibly empty) collection of formulas (the **hypotheses**)
- Δ : collection of formulas (the **goal**)
- Objective: show that, under hypotheses Γ , **some** formula(s) in Δ can be proven.

$\Gamma \equiv P_1, P_2, \dots, P_n$ stands for $P_1 \wedge P_2 \wedge \dots \wedge P_n$

$$\frac{P_1, P_2, \dots, P_n \vdash Q_1, Q_2, \dots, Q_m}{\text{is}}$$

$\Delta \equiv Q_1, Q_2, \dots, Q_m$ s.f. $Q_1 \vee Q_2 \vee \dots \vee Q_m$

$$\frac{P_1 \wedge P_2 \wedge \dots \wedge P_n \vdash Q_1 \vee Q_2 \vee \dots \vee Q_m}{\text{is}}$$

- We will use a proof calculus where the goal is a **single** formula.
- More constructive proofs — but see [Oria, Section 11.2] for interesting remarks.

Inside a sequent

- We need a **language** to express hypothesis and goals.
 - Not formally defined yet
 - We will assume it is first-order, classical logic
 - Recommended references: [Pau, HR04, Ben12]
- We need a way to determine if (and how) Δ can prove Γ .
 - Inference rules.

Logic and inference rules

Inference rules

Structural

- Hypothesis
- Monotony
- Cut

Depending on logic

- Propositional
- First order
- Temporal
- Higher order
- ...

For specific theories

- Sets
- Relations
- Functions
- (Linear) Arithmetic
- Reals
- Strings
- Arrays
- Bitvectors
- Records
- Difference logic
- Inductive data types
- Empty theory
- ...

Structural inference rules

- Three structural inference rules, independent of the predicate language.

HYPothesis

$$\frac{}{H, P \vdash P} \text{HYP}$$

If the goal is among the hypothesis, we are done.

MONotony

$$\frac{H \vdash Q}{H, P \vdash Q} \text{MON}$$

If goal proven without hypothesis P , then can be proven with P .

CUT

$$\frac{H \vdash P \quad H, P \vdash Q}{H \vdash Q} \text{CUT}$$

A goal can be proven with an intermediate deduction P . Nobody tells us what is P or how to come up with it. It *cuts* the proof into smaller pieces.
(*Cut Elimination Theorem*)

More rules

- There are many other inference rules for:
 - Logic itself (propositional / predicate logic)
 - Look at the slides / documents in the course web page
 - reasoning on arithmetic (Peano axioms),
 - reasoning on sets,
 - reasoning on functions,
 - ...
- We will not list all of them here (see online documentation).
- We may need to explain them as they appear.
- But a mechanical prover has them as “inside knowledge” (plus tactics, strategies)

The propositional language: basic constructs

- Given predicates P and Q , we can construct:

- **NEGATION:** $\neg P$

- **CONJUNCTION:** $P \wedge Q$

- **IMPLICATION:** $P \Rightarrow Q$

- Precedence: $\neg, \wedge, \Rightarrow$.
 - Examples
- Parenthesis added when needed.
 - If in doubt: add parentheses!
- Can you build the truth tables?
- \vee, \Leftrightarrow are defined based on them.
 - Define them
 - Can we use a **single** connective?

The propositional language: rules for conjunction

$$\frac{H \vdash Q \quad H \vdash P}{H \vdash P \wedge Q} \text{AND-R}$$

A conjunction on the RHS needs both branches of the conjunction be proven independently of each other.

$$x \in \mathbb{N1}, y \in \mathbb{N1}, x + y < 5 \vdash x < 4 \wedge y < 4$$

The propositional language: rules for conjunction

$$\frac{H \vdash Q \quad H \vdash P}{H \vdash P \wedge Q} \text{AND-R}$$

A conjunction on the RHS needs both branches of the conjunction be proven independently of each other.

$$x \in \mathbb{N}, y \in \mathbb{N}, x + y < 5 \vdash x < 4 \wedge y < 4$$

$$\frac{H, P, Q \vdash R}{H, P \wedge Q \vdash R} \text{AND-L}$$

By definition of sequent.

The propositional language: rules for disjunction

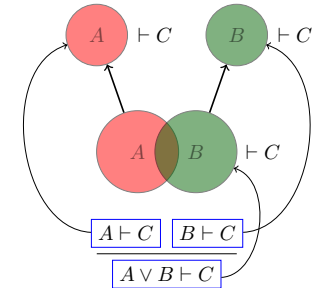
$$\frac{H, Q \vdash R \quad H, P \vdash R}{H, P \vee Q \vdash R} \text{OR-L}$$

A disjunction on the LHS needs both branches of the disjunction be discharged separately.

$$(x < 0 \wedge y < 0) \vee x + y > 0 \vdash x \times y > 0$$

Counterexample?

LHS: **all** conditions in which RHS has to hold. Removing part of disjunction makes "condition space" smaller (removing part of conjunction makes the "condition space" larger, more general). Proofs with more general assumptions are valid for less general assumptions, not the other way around.



The propositional language: rules for disjunction (cont.)

$$\frac{H \vdash P}{H \vdash P \vee Q} \text{OR-R1} \quad \frac{H \vdash Q}{H \vdash P \vee Q} \text{OR-R2}$$

A disjunction on the RHS only needs **one** of the branches to be proven. There is a rule for each branch.

The propositional language: rules for disjunction (cont.)

$$\frac{H \vdash P}{H \vdash P \vee Q} \text{OR-R1} \quad \frac{H \vdash Q}{H \vdash P \vee Q} \text{OR-R2}$$

A disjunction on the RHS only needs **one** of the branches to be proven. There is a rule for each branch.

$$\frac{H, \neg P \vdash Q}{H \vdash P \vee Q} \text{NEG}$$

Part of a disjunctive goal can be negated, moved to the hypotheses, and used to discharge the proof. Related to $\neg P \vee Q$ being $P \Rightarrow Q$.

$$x \in \mathbb{N}, y \in \mathbb{N}, x + y > 1, y > x \vdash x > 0 \vee y > 1$$

The propositional language: rules for negation

$$\frac{}{\perp \vdash Q} \text{CNTR}$$

$$\frac{}{P, \neg P \vdash Q} \text{NOT-L}$$

If we reach to a contradiction in the hypotheses, anything can be proven (*principle of explosion*). Note: not everyone accepts this – more on that later.

$$\frac{H, \neg P \vdash \neg Q \quad H, \neg P \vdash Q}{H \vdash P} \text{NOT-R}$$

Reductio ad absurdum: assume the negation of what we want to prove and reach a contradiction. Similarly with $H \vdash \neg P$.

$$P \wedge \neg P \equiv \perp \text{ (Falsehood)}$$

$$P \vee \neg P \equiv \top \text{ (Truth)}$$

$$\top = \neg \perp$$

The propositional language: rules for implication

$$\frac{H \vdash P \quad H, Q \vdash R}{H, P \Rightarrow Q \vdash R} \text{IMP-L}$$

If we want to use $P \Rightarrow Q$, we show that P is deducible from H and that, assuming Q , we can infer R .

$$\frac{H, P \vdash Q}{H \vdash P \Rightarrow Q} \text{IMP-R}$$

We move the LHS P to the hypotheses. Note that since $P \Rightarrow Q$ is $\neg P \vee Q$, we are applying the **NEG** rule in disguise.

$$x \in \mathbb{N}, y \in \mathbb{N}, x + y > k \vdash x = k \Rightarrow y > 0$$

Additional rules

Equality axiom

$$\frac{}{\vdash E = E} \text{EQL}$$

First Peano axiom

$$\frac{}{\vdash 0 \in \mathbb{N}} \text{P0}$$

Equality propagation

$$\frac{H(F), E = F \vdash P(F)}{H(E), E = F \vdash P(E)} \text{EQL-LR}$$

Second Peano axiom

$$\frac{}{n \in \mathbb{N} \vdash n + 1 \in \mathbb{N}} \text{P1}$$

Forthcoming proofs and propositional rules

The following proofs feature variables. Strictly speaking, they are not propositional. We will however not use quantifiers, so we will treat formulas as propositions when applying the previous rules. We will assume the existence of simple, well-known arithmetic rules.

Invariant preservation proofs

$E_{\text{Progress}} / I_2 / \text{INV}$

Progress I2 invariant proof

$$\frac{\frac{\frac{}{\vdash 0 \in \mathbb{N}} \text{P0} \quad \frac{}{\vdash \text{Arith}} \text{Arith}}{\vdash \text{MON}} \text{MON} \quad \frac{\frac{}{\vdash \text{Arith}^*} \text{Arith}^* \quad \frac{}{\vdash \text{MON}} \text{MON}}{\vdash \text{Simp-M-Minus}} \text{Simp-M-Minus} \quad \frac{}{\vdash \text{Arith-M-M-R}} \text{Arith-M-M-R}}{\vdash \text{OR-L}} \text{OR-L}}{\vdash \text{Arith}} \text{Arith} \quad \frac{}{\vdash \text{MON}} \text{MON}}{\vdash b \in \mathbb{N}, c \in \mathbb{N}, c > 0, r \geq c, a \in \mathbb{N}, b = a \times c + r, r \in \mathbb{N} \vdash r - c \in \mathbb{N}} \text{MON}$$

$I_2: r \in \mathbb{N}$

Event Progress

```
when r >= c
then
  r, a := r - c, a + 1
end
```

Invariant preservation proofs

$E_{\text{Progress}} / I_2 / \text{INV}$

Progress I2 invariant proof

P0	Arith^*
Arith	MON
MON	Simp-M-Minus
EQ-LR	Arith-M-M-R
	OR-L
$\frac{c \in \mathbb{N}, r \geq c, r \in \mathbb{N} \vdash r - c \in \mathbb{N}}{\text{Arith}}$	
$\frac{b \in \mathbb{N}, c \in \mathbb{N}, c > 0, r \geq c, a \in \mathbb{N}, b = a \times c + r, r \in \mathbb{N} \vdash r - c \in \mathbb{N}}{\text{MON}}$	

$I_2: r \in \mathbb{N}$

Event Progress
 when $r \geq c$
 then
 $r, a := r - c, a + 1$
 end



Invariant preservation proofs

$E_{\text{Progress}} / I_2 / \text{INV}$

Progress I2 invariant proof

P0	Arith^*
Arith	MON
MON	Simp-M-Minus
EQ-LR	Arith-M-M-R
	OR-L
$\frac{c \in \mathbb{N}, r = c \vee r > c, r \in \mathbb{N} \vdash r - c \in \mathbb{N}}{\text{Arith}}$	
$\frac{b \in \mathbb{N}, c \in \mathbb{N}, c > 0, r \geq c, a \in \mathbb{N}, b = a \times c + r, r \in \mathbb{N} \vdash r - c \in \mathbb{N}}{\text{MON}}$	

$I_2: r \in \mathbb{N}$

Event Progress
 when $r \geq c$
 then
 $r, a := r - c, a + 1$
 end



Invariant preservation proofs

$E_{\text{Progress}} / I_2 / \text{INV}$

Progress I2 invariant proof

P0	Arith^*
Arith	MON
MON	Simp-M-Minus
EQ-LR	Arith-M-M-R
$\frac{c \in \mathbb{N}, r = c, r \in \mathbb{N} \vdash r - c \in \mathbb{N}}$	OR-L
$\frac{c \in \mathbb{N}, r = c \vee r > c, r \in \mathbb{N} \vdash r - c \in \mathbb{N}}{\text{Arith}}$	
$\frac{b \in \mathbb{N}, c \in \mathbb{N}, c > 0, r \geq c, a \in \mathbb{N}, b = a \times c + r, r \in \mathbb{N} \vdash r - c \in \mathbb{N}}{\text{MON}}$	

$I_2: r \in \mathbb{N}$

Event Progress
 when $r \geq c$
 then
 $r, a := r - c, a + 1$
 end



Invariant preservation proofs

$E_{\text{Progress}} / I_2 / \text{INV}$

Progress I2 invariant proof

P0	Arith^*
Arith	MON
MON	Simp-M-Minus
EQ-LR	Arith-M-M-R
$\frac{c \in \mathbb{N}, c \in \mathbb{N} \vdash c - c \in \mathbb{N}}$	OR-L
$\frac{c \in \mathbb{N}, r = c \vee r > c, r \in \mathbb{N} \vdash r - c \in \mathbb{N}}{\text{Arith}}$	
$\frac{b \in \mathbb{N}, c \in \mathbb{N}, c > 0, r \geq c, a \in \mathbb{N}, b = a \times c + r, r \in \mathbb{N} \vdash r - c \in \mathbb{N}}{\text{MON}}$	

$I_2: r \in \mathbb{N}$

Event Progress
 when $r \geq c$
 then
 $r, a := r - c, a + 1$
 end



Invariant preservation proofs

$E_{\text{Progress}} / I_3 / \text{INV}$

Progress I3 invariant proof

$$\frac{\frac{\frac{\frac{\text{HYP}}{\text{Arith-M-PI-Dist}}{\text{Arith-M-PI-Dist}}}{b = a \times c + r \vdash b = (a+1) \times c + r - c}}{b = a \times c + r \vdash b = (a+1) \times c + (r - c)} \text{Arith-PI-M}}{b \in \mathbb{N}, c \in \mathbb{N}, c > 0, r \geq c, a \in \mathbb{N}, r \in \mathbb{N}, b = a \times c + r \vdash b = (a+1) \times c + (r - c)} \text{MON}$$

$I_3: b = a \times c + r$ Event Progress
 when $r \geq c$
 then
 $r, a := r - c, a + 1$
 end

Invariant preservation proofs

$E_{\text{Progress}} / I_3 / \text{INV}$

Progress I3 invariant proof

$$\frac{\frac{\frac{\frac{\text{HYP}}{\text{Arith-M-PI-Dist}}{\text{Arith-M-PI-Dist}}}{b = a \times c + r \vdash b = a \times c + c + r - c}}{b = a \times c + r \vdash b = (a+1) \times c + r - c} \text{Arith-PI-M}}{b = a \times c + r \vdash b = (a+1) \times c + (r - c)} \text{Arith-PI-M}}{b \in \mathbb{N}, c \in \mathbb{N}, c > 0, r \geq c, a \in \mathbb{N}, r \in \mathbb{N}, b = a \times c + r \vdash b = (a+1) \times c + (r - c)} \text{MON}$$

$I_3: b = a \times c + r$ Event Progress
 when $r \geq c$
 then
 $r, a := r - c, a + 1$
 end

Invariant preservation proofs

$E_{\text{Progress}} / I_3 / \text{INV}$

Progress I3 invariant proof

$$\frac{\frac{\frac{\frac{\text{HYP}}{\text{Arith-M-PI-Dist}}{\text{Arith-M-PI-Dist}}}{b = a \times c + r \vdash b = a \times c + r}}{b = a \times c + r \vdash b = a \times c + c + r - c} \text{Arith-M-PI-Dist}}{b = a \times c + r \vdash b = (a+1) \times c + r - c} \text{Arith-PI-M}}{b = a \times c + r \vdash b = (a+1) \times c + (r - c)} \text{Arith-PI-M}}{b \in \mathbb{N}, c \in \mathbb{N}, c > 0, r \geq c, a \in \mathbb{N}, r \in \mathbb{N}, b = a \times c + r \vdash b = (a+1) \times c + (r - c)} \text{MON}$$

$I_3: b = a \times c + r$ Event Progress
 when $r \geq c$
 then
 $r, a := r - c, a + 1$
 end

Invariant preservation proofs

Proofs for Finish

- $E_{\text{Finish}} / I_1 / \text{INV}$
- $E_{\text{Finish}} / I_2 / \text{INV}$
- $E_{\text{Finish}} / I_3 / \text{INV}$

are trivial (Finish does not change anything)

Correctness: when Finish is executed, $I_3 \wedge G_{\text{Finish}} \Rightarrow a = \lfloor \frac{b}{c} \rfloor$ (with the definition given for integer division).

Inductive and non-inductive invariants



- We want to prove

$$A(c) \vdash I_j(E_{\text{init}}(v, c), c)$$
$$A(c), G_i(v, c), I_{1\dots n}(v, c) \vdash I_j(E_i(v, c), c)$$

- I_j : *inductive invariant* (base case + inductive case)



Inductive and non-inductive invariants



- We want to prove

$$A(c) \vdash I_j(E_{\text{init}}(v, c), c)$$
$$A(c), G_i(v, c), I_{1\dots n}(v, c) \vdash I_j(E_i(v, c), c)$$

- I_j : *inductive invariant* (base case + inductive case)
- Invariants can be true but **non-inductive** if they cannot be proved from program

```
Event INIT
  a: x := 1
end
```

```
Event Loop
  a: x := 2*x - 1
end
```

- $x \geq 0$ looks like an invariant.
Prove it is preserved.



Inductive and non-inductive invariants



- We want to prove

$$A(c) \vdash I_j(E_{\text{init}}(v, c), c)$$
$$A(c), G_i(v, c), I_{1\dots n}(v, c) \vdash I_j(E_i(v, c), c)$$

- I_j : *inductive invariant* (base case + inductive case)
- Invariants can be true but **non-inductive** if they cannot be proved from program

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Event INIT
  a: x := 1
end
```

```
Event Loop
  a: x := 2*x - 1
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- It is not inductive (Loop:
 $x \geq 0 \vdash 2 * x - 1 \geq 0$?)



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Prove it is preserved.
- It is not inductive (Loop:
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- $x > 0$ is inductive (Prove it!)



Inductive and non-inductive invariants

- We want to prove

$$A(c) \vdash I_j(E_{\text{init}}(v, c), c)$$

$$A(c), G_i(v, c), I_{1..n}(v, c) \vdash I_j(E_i(v, c), c)$$

- I_j : *inductive invariant* (base case + inductive case)
- Invariants can be true but **non-inductive** if they cannot be proved from program

```
Event INIT      Event Loop
  a: x := 1      a: x := 2*x - 1
end             end
```

- $x \geq 0$ looks like an invariant. **Prove it is preserved.**
- It is not inductive (Loop: $x \geq 0 \vdash 2 * x - 1 \geq 0$?)
- $x > 0$ is inductive (**Prove it!**)

- $x > 0$ is stronger than $x \geq 0$ (if $A \Rightarrow B$, A stronger than B .)
- Stronger invariants are preferred.



Proof by contradiction: why?

$$\frac{}{\perp \vdash P} \text{CNTR}$$



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 - Let's assume Q and $\neg Q$.
 - Then $\neg Q$.
 - Then $\neg Q \vee P \equiv Q \Rightarrow P$.
 - But since $Q \wedge (Q \Rightarrow P)$, then P .

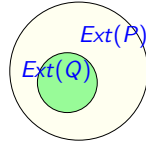


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$\perp \vdash P$ CNTR

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- Model-based:
 - If $Q \Rightarrow P$, then $Q \vdash P$.
 - Extension: $Ext(P) = \{x | P(x)\}$ (id. Q).
 - $Q \Rightarrow P$ iff $Ext(Q) \subseteq Ext(P)$. Why???



- If $Q \equiv R \wedge \neg R$, $Ext(Q) = \emptyset$.
- $\emptyset \subseteq S$, for any S .
- Therefore, $Ext(R \wedge \neg R) \subseteq Ext(P)$ for any P .
- Thus, $R \wedge \neg R \Rightarrow P$ and then $\perp \vdash P$.



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